

Výpočty pásových struktur

- reciproký prostor k-vektorů, Brillouinovy zóny
- sekulární rovnice, variační metoda
- pásová struktura, periodický potenciál
- hustota stavů, Fermiho energie
- metoda téměř volných elektronů
- metoda těsné vazby, MO-LCAO, Blochovy funkce

- T. A. Albright, J. K. Burdett, M.-H. Whangbo, Wiley (2013)
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- J. K. Burdett, Progress in Solid State Chemistry 15 (1984) 173-255
From Bonds to Bands and Molecules to Solids.
- E. Canadell , M.-H. Whangbo, Chem. Rev. 91 (1991) 965-1034
Conceptual aspects of structure-property correlations and electronic instabilities, with applications to low-dimensional transition-metal oxides.
- R. Hoffmann, Angew. Chem. Int. Ed. Engl. 26 (1987) 846-878
How chemistry and physics meet in the solid state.
- G. L. Miessler, P. J. Fischer, D. A. Tarr: Inorganic chemistry 5th ed., chap.5
Molecular Orbitals.
- S. Cottenier (2013)
Density Functional Theory and the Family of (L)APW-methods: a step-by-step introduction.

<http://lom.fzu.cz/main/lom/krystalochemie/index.html>

<http://lom.fzu.cz/main/lom/chapl/index.html>

prostor čísel k - reciproký prostor, k – prostor

Reálný (přímý) prostor:

V_r

krystalová mříž

$$\mathbf{r} = x\mathbf{a}_1 + y\mathbf{a}_2 + z\mathbf{a}_3$$

$$\mathbf{R} = n_1\mathbf{a}_1 + n_2\mathbf{a}_2 + n_3\mathbf{a}_3$$

Reciproký prostor:

V_c

reciproká mříž

$$\mathbf{g} = u\mathbf{b}_1 + v\mathbf{b}_2 + w\mathbf{b}_3$$

$$\mathbf{G} = h\mathbf{b}_1 + k\mathbf{b}_2 + l\mathbf{b}_3$$

$$\mathbf{b}_1 = 2\pi \frac{\mathbf{a}_2 \times \mathbf{a}_3}{V_r} \quad \mathbf{b}_2 = 2\pi \frac{\mathbf{a}_3 \times \mathbf{a}_1}{V_r} \quad \mathbf{b}_3 = 2\pi \frac{\mathbf{a}_1 \times \mathbf{a}_2}{V_r}$$

$$V_r = \mathbf{a}_1 \cdot (\mathbf{a}_2 \times \mathbf{a}_3)$$

$$V_c = \mathbf{b}_1 \cdot (\mathbf{b}_2 \times \mathbf{b}_3)$$

$$V_c = \frac{8\pi^3}{V_r}$$

k - vlnový vektor

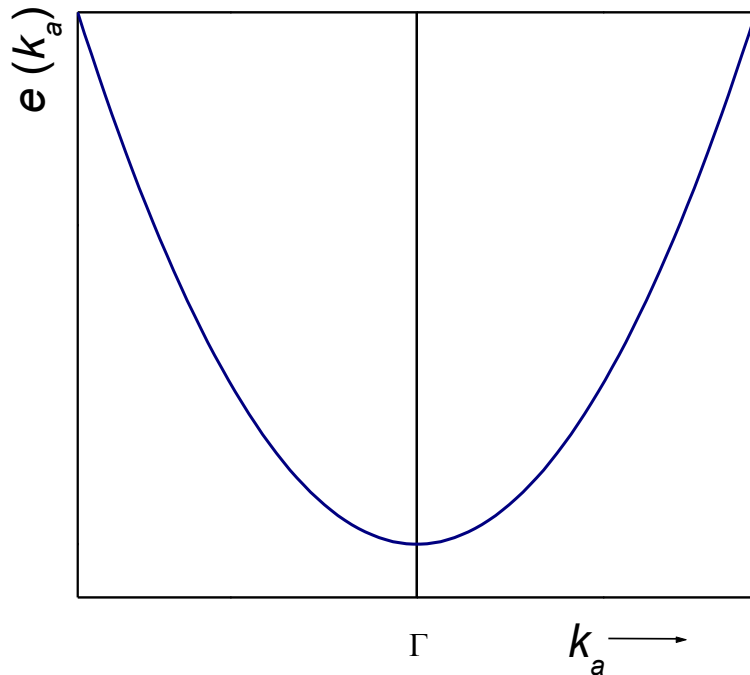
$$k = \frac{2\pi}{\lambda}$$

de Broglie: $\vec{p} = m\vec{v} = \frac{h}{\vec{\lambda}} = \hbar\vec{k}$

$$\Phi(t, \vec{r}) = e^{-i(\vec{k}\vec{r} - \omega t)}$$

počet dovolených hodnot **k** = počet elementárních buněk v krystalu

volné elektrony:
$$E = \frac{mv^2}{2} = \frac{\mathbf{p}^2}{2m} = \frac{\hbar^2 k^2}{2m}$$

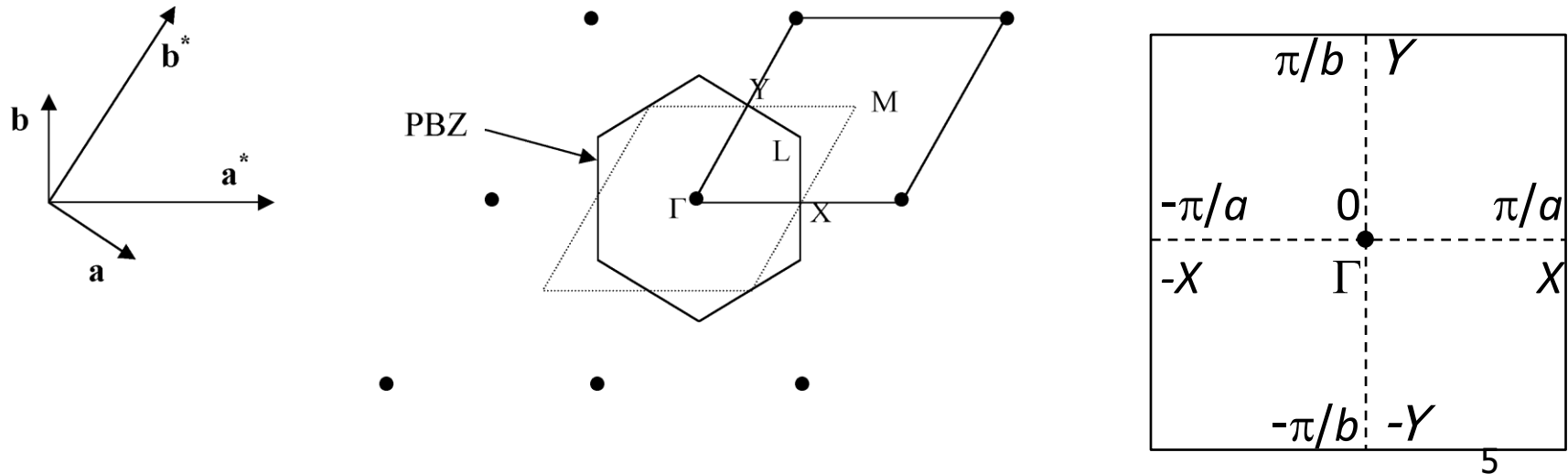


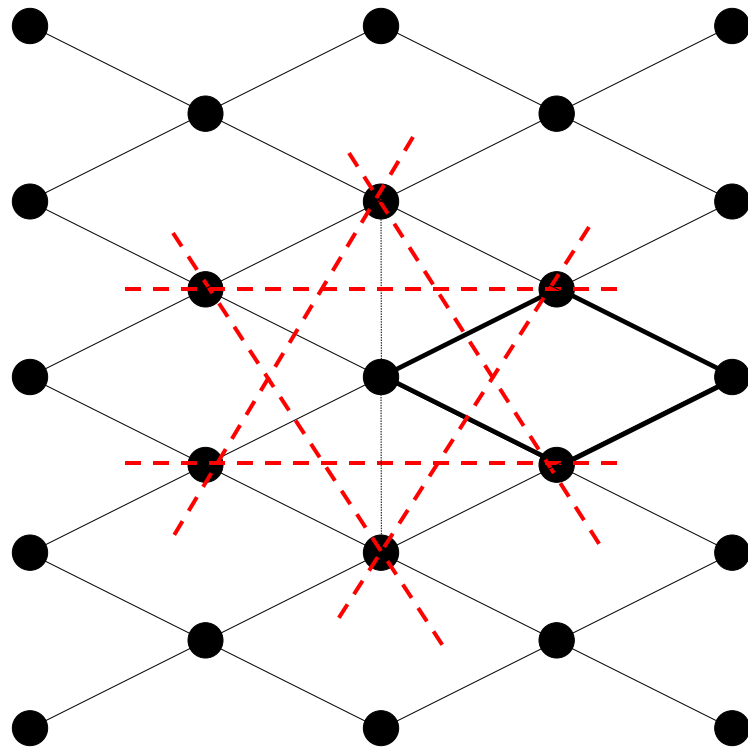
- platí:
- $E(\mathbf{k}) = E(-\mathbf{k})$
 - pro každé \mathbf{k} v rámci jednoho pásu je jedna hodnota E
 - $E(\mathbf{k})$ je periodickou funkcí \mathbf{k} , stačí prezentovat v intervalu $(-\pi/a ; \pi/a)$ - první Brillouinova zóna v jednom rozměru

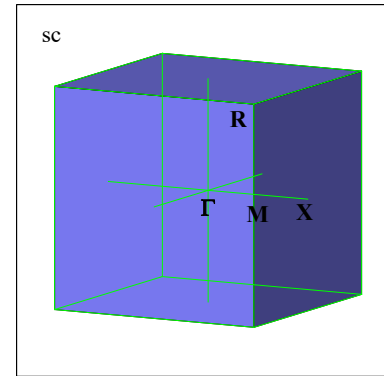
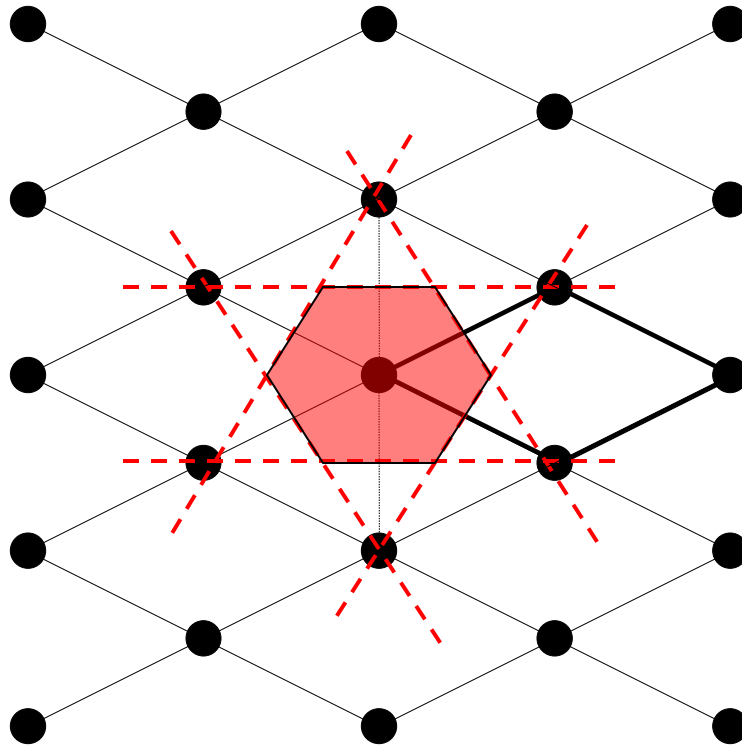
první Brillouinova zóna – Wignerova-Seitzova buňka v reciproce mříži

Wignerova-Seitzova buňka je primitivní a má vždy stejnou symetrii jako mříž (primitivní krystalografická buňka může mít nižší symetrii než mříž)

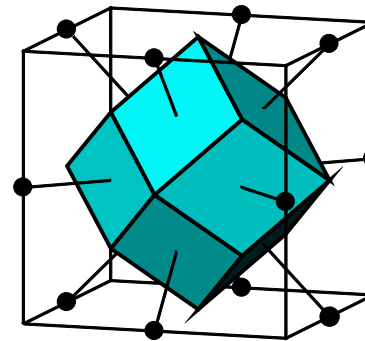
konstrukce: roviny kolmé k $\mathbf{b}_1, \mathbf{b}_2, \mathbf{b}_3$ vedené v bodech $\pm \mathbf{b}_1, \pm \mathbf{b}_2, \pm \mathbf{b}_3$





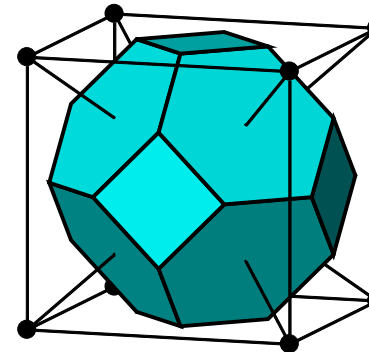


**simple
cubic**



bcc

- bcc v přímém prostoru odpovídá fcc v reciprokém prostoru
- rombický dodekaedr



fcc

- fcc v přímém prostoru odpovídá bcc v reciprokém prostoru
- komolý oktaedr

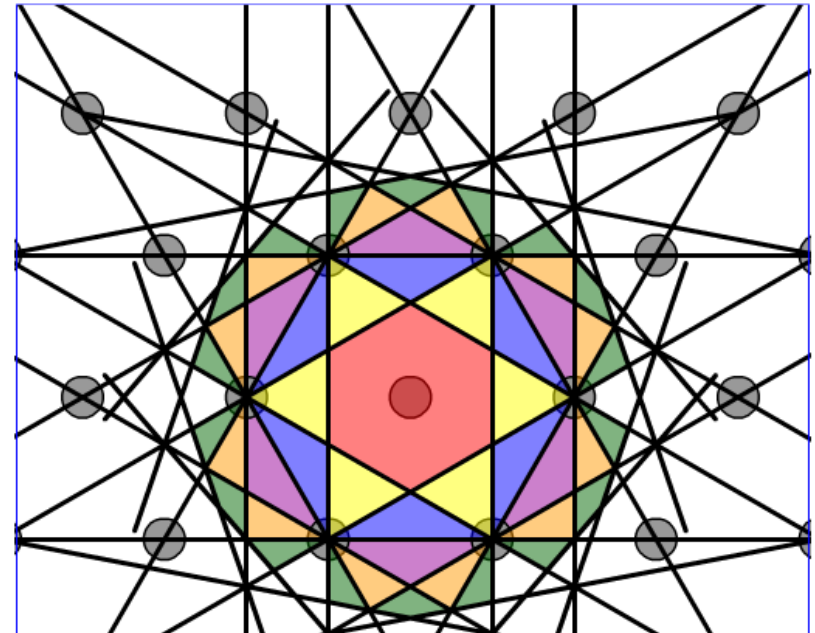
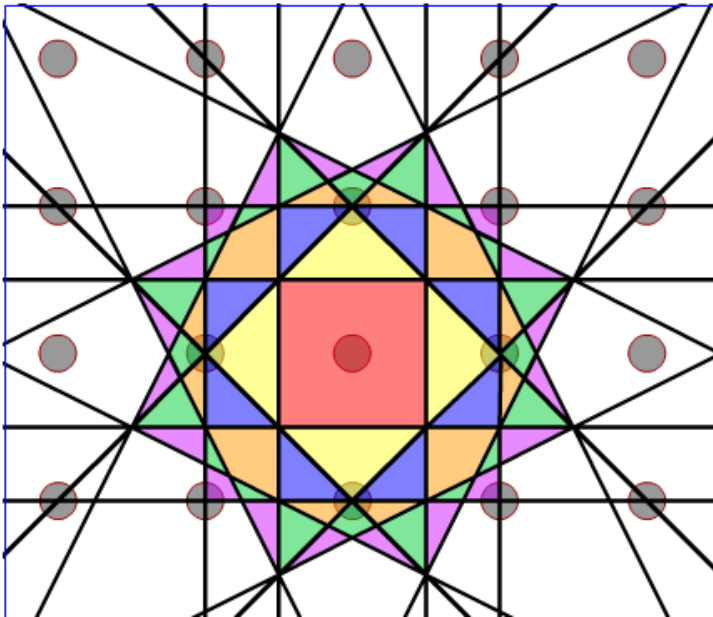
Brillouinovy zóny vyššího řádu:

- mají stejný objem jako 1. Brillouinova zóna.
- mají stejnou symetrii jako 1. Brillouinova zóna.
- posunem o mřížový reciprokový vektor se přesunou do 1. Brillouinovy zóny.

1. Brillouinova zóna

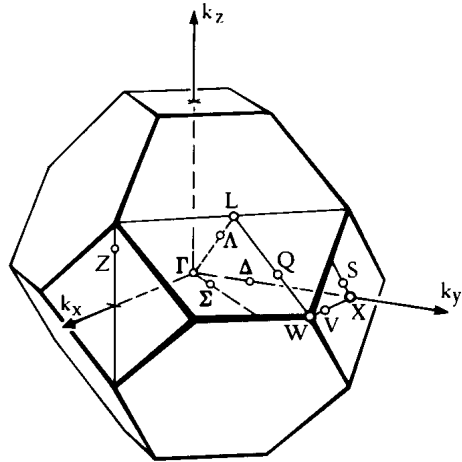
2. Brillouinova zóna

3. Brillouinova zóna

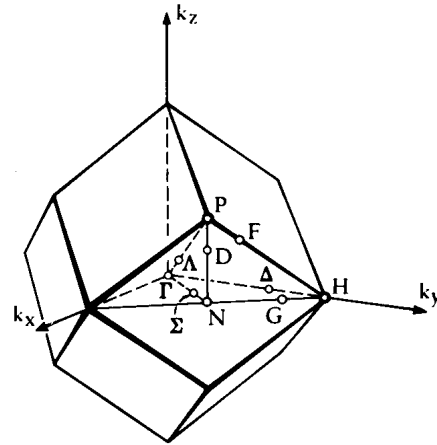


| | | |
|--------------|-----------|------|
| Triclinic | $\bar{1}$ | 1/2 |
| Monoclinic | $2/m$ | 1/4 |
| Orthorhombic | mmm | 1/8 |
| Tetragonal | $4/m$ | 1/8 |
| | $4/mmm$ | 1/16 |

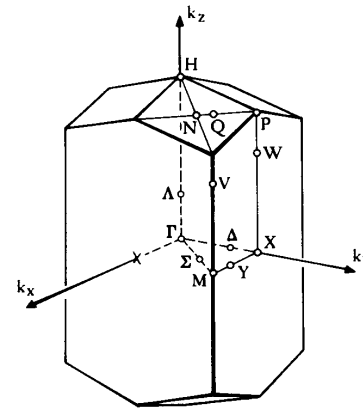
| | | |
|-----------|-------------|------|
| Trigonal | $\bar{3}$ | 1/6 |
| Hexagonal | $6/m$ | 1/12 |
| | $6/mmm$ | 1/24 |
| Cubic | $m\bar{3}$ | 1/24 |
| | $m\bar{3}m$ | 1/48 |



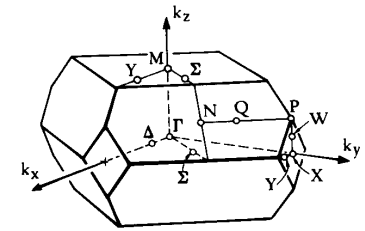
FACE CENTERED CUBIC



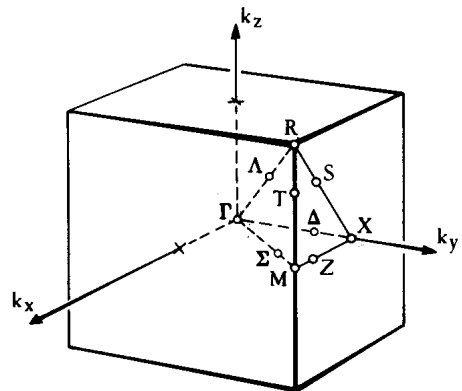
BODY CENTERED CUBIC



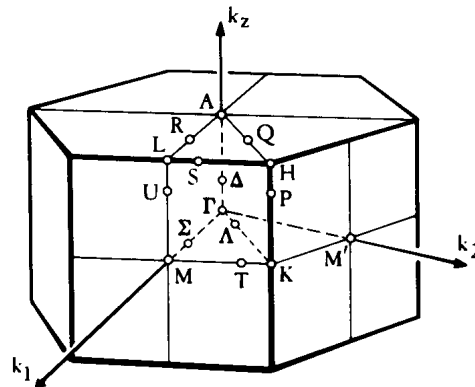
BODY CENTERED TETRAGONAL (a)



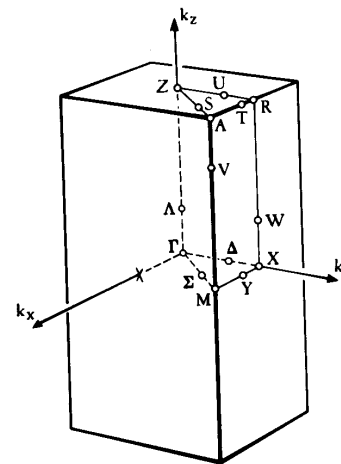
BODY CENTERED TETRAGONAL (b)



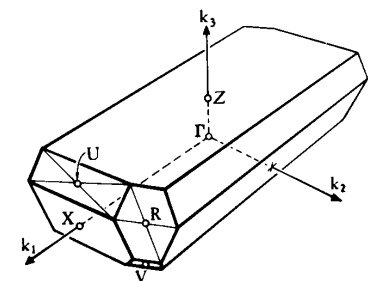
SIMPLE CUBIC



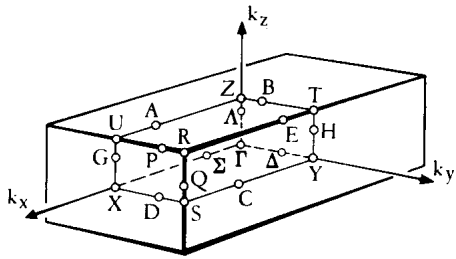
HEXAGONAL



SIMPLE TETRAGONAL

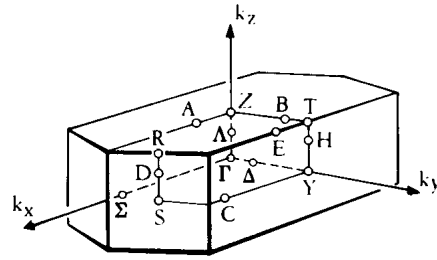


TRICLINIC

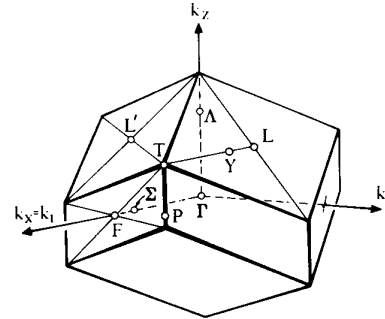


SIMPLE ORTHORHOMBIC

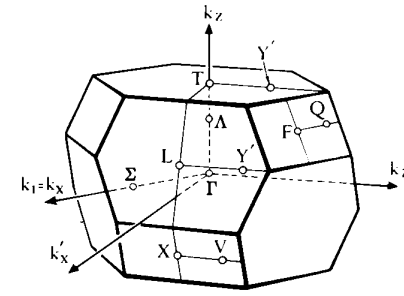
$\Gamma=000$ $X=100$ $Y=010$ $Z=001$
 $S=110$ $T=011$ $U=101$ $R=111$



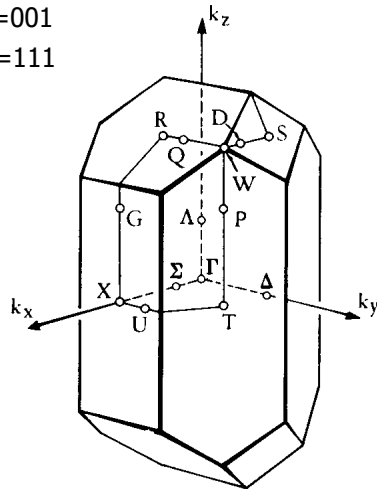
BASE CENTERED ORTHORHOMBIC



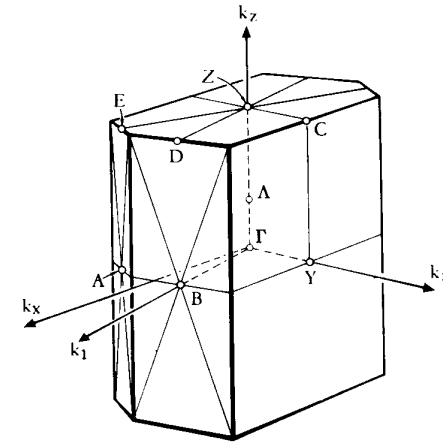
RHOMBOHEDRAL (a)



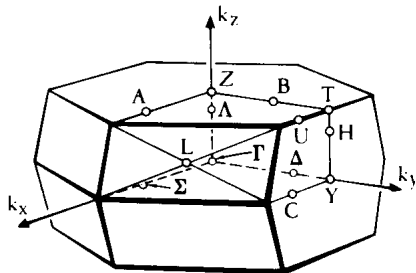
RHOMBOHEDRAL (b)



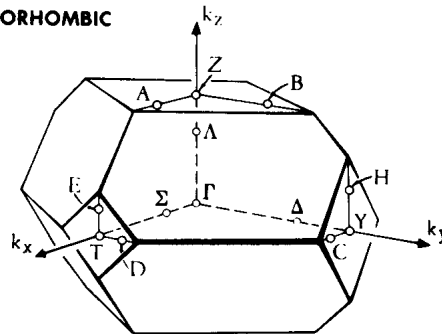
BODY CENTERED ORTHORHOMBIC



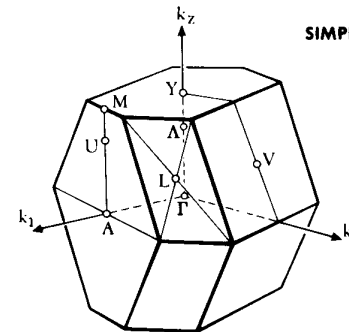
SIMPLE MONOCLINIC



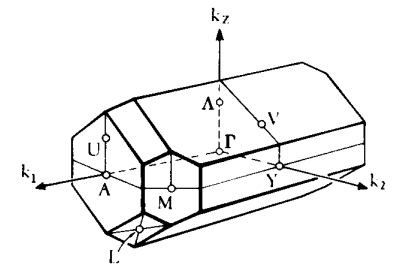
ALL FACE CENTERED ORTHORHOMBIC (a)



ALL FACE CENTERED ORTHORHOMBIC (b)



ONE FACE CENTERED MONOCLINIC (a)



ONE FACE CENTERED MONOCLINIC (b)

Schrödingerova rovnice
$$\underbrace{-\frac{\hbar^2}{2m} \Delta \Psi(r)}_{\text{kinetická E.}} + \underbrace{\hat{V}(r)}_{\text{potenciální E.}} \Psi(r) = E \Psi(r)$$

$$\Delta = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2}$$

Vodíkový atom:
$$\hat{V} = \frac{e^2}{4\pi\epsilon_0 r}$$

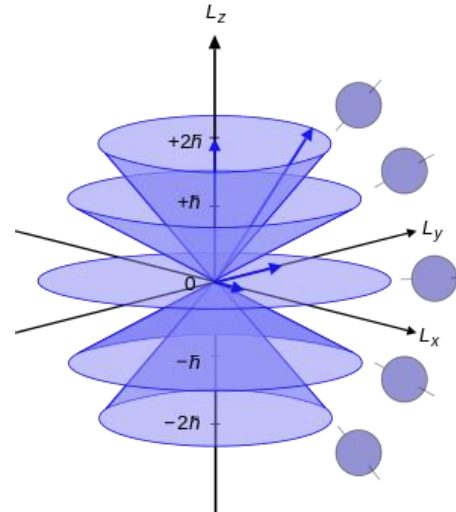
Δ ve sférických souřadnicích:
$$\Psi_{n,l,m} = R_{n,l}(r) \cdot Y_{l,m}(\theta, \varphi)$$

$$\Delta \approx \frac{\partial^2}{\partial r^2} + \frac{\partial^2}{\partial \theta^2} + \frac{\partial^2}{\partial \varphi^2}$$

$$\hat{H} \Psi_{n,l,m} = E_n \Psi_{n,l,m} \quad \hat{H} = \hat{T} + \hat{V}$$

$$\hat{L}^2 Y_{l,m} = l(l+1) \hbar^2 Y_{l,m}$$

$$\hat{L}_z Y_{l,m} = m \hbar Y_{l,m}$$



- m: hmotnost elektronu
- ϵ_0 : permitivita vakua
- Ψ : vlastní funkce
- e: náboj elektronu
- E: energie
- h: Planckova konstanta
- R: radiální funkce
- Y: angulární funkce

- n: hlavní kvantové číslo
- l: vedlejší kvantové číslo
určuje orbitální moment hybnosti
 $l = 0 \dots n-1$
- m_l : magnetické kvantové číslo
určuje průmět do osy z
 $m_l = -l \dots l$

$$\hat{H}\Psi = E\Psi$$

$$\hat{H}\Phi = E\Phi$$

$$\Psi \approx \Phi = \sum_i^N c_i \varphi_i$$

ψ : přesná vlnová funkce

Φ : přibližná vlnová funkce vyjádřená v bázi φ

$\psi = \Phi$ pro $N \rightarrow \infty$

φ : např. atomové orbitaly, rovinné vlny, ...

Téměř volné elektrony:

Kinetická energie převažuje nad potenciální

Báze = rovinné vlny

$$\Phi(x) = \sum_k c_k \exp[i\vec{k}\vec{x}]$$

kovová vazba, elektronový plyn

Těsná vazba:

Potenciální energie převažuje nad kinetickou

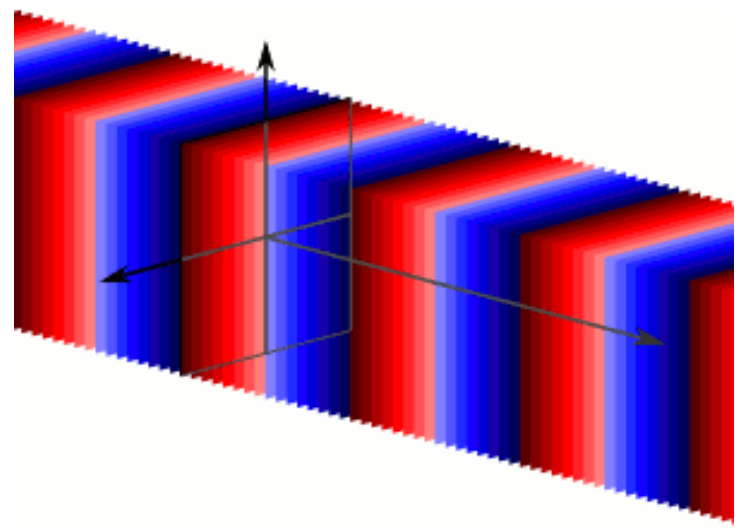
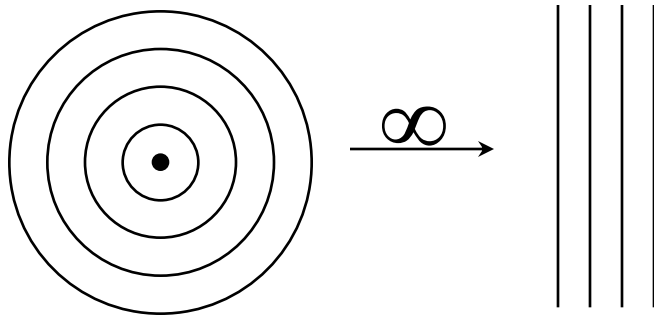
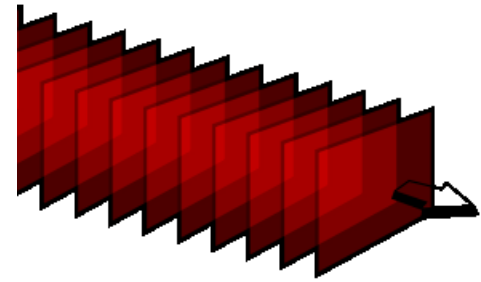
Báze = atomové orbitaly

Kovalentní a iontová vazba

Rovinná vlna:

- konstantní frekvence
- šíří se jako nekonečné rovnoběžné roviny kolmé k vektoru pohybu.

$$\Phi(\vec{r}) = \sum_n c_n \exp[i\vec{k}\vec{r}]$$



$$(1) \quad \hat{H}\Phi = E\Phi \quad (2) \quad \Phi = \sum_{i=1}^n c_i \varphi_i$$

dosazením (2) do (1) \rightarrow (3)

$$(3) \quad \hat{H}(c_1\varphi_1 + c_2\varphi_2 + \dots + c_n\varphi_n) = E(c_1\varphi_1 + c_2\varphi_2 + \dots + c_n\varphi_n)$$

Neznámé : E, c_i

Obecně - vlastní vektory matice :

Symetrie - vektor osy

$$A\vec{v} = 1\vec{v}$$

Vlastní funkce :

$$\hat{H}\Phi = E\Phi$$

$$\begin{array}{cccc}
 & \varphi_1 & \varphi_2 & \cdots & \varphi_n \\
 E_1 & \left[\begin{array}{cccc} c_{11} & c_{12} & \cdots & c_{1n} \\ c_{21} & c_{22} & \cdots & c_{2n} \\ \vdots & \vdots & \vdots & \vdots \\ c_{n1} & c_{n2} & \cdots & c_{nn} \end{array} \right] & \sum c_{1j}^2 = 1 \\
 E_2 & & & & \sum c_{2j}^2 = 1 \\
 \vdots & & & & \\
 E_n & & & & \sum c_{nj}^2 = 1 \\
 \sum c_{i1}^2 = 1 & \sum c_{i2}^2 = 1 & \cdots & \sum c_{in}^2 = 1
 \end{array}$$

rovnici (3) vynásobíme postupně zleva funkcemi $\varphi_1, \varphi_2, \dots, \varphi_n$, a vytvoříme soustavu rovnic:

$$\begin{aligned} \varphi_1 \hat{H}c_1\varphi_1 + \varphi_1 \hat{H}c_2\varphi_2 + \dots + \varphi_1 \hat{H}c_n\varphi_n &= \varphi_1 Ec_1\varphi_1 + \varphi_1 Ec_2\varphi_2 + \dots + \varphi_1 Ec_n\varphi_n \\ \varphi_2 \hat{H}c_1\varphi_1 + \varphi_2 \hat{H}c_2\varphi_2 + \dots + \varphi_2 \hat{H}c_n\varphi_n &= \varphi_2 Ec_1\varphi_1 + \varphi_2 Ec_2\varphi_2 + \dots + \varphi_2 Ec_n\varphi_n \\ &\vdots \\ \varphi_n \hat{H}c_1\varphi_1 + \varphi_n \hat{H}c_2\varphi_2 + \dots + \varphi_n \hat{H}c_n\varphi_n &= \varphi_n Ec_1\varphi_1 + \varphi_n Ec_2\varphi_2 + \dots + \varphi_n Ec_n\varphi_n \end{aligned}$$

Převědeme na maticový zápis, pro konstantu E platí $\varphi_i E \varphi_j = E \varphi_i \varphi_j$:

$$\begin{pmatrix} \varphi_1 \hat{H} \varphi_1 & \varphi_1 \hat{H} \varphi_2 & \dots & \varphi_1 \hat{H} \varphi_n \\ \varphi_2 \hat{H} \varphi_1 & \varphi_2 \hat{H} \varphi_2 & \dots & \varphi_2 \hat{H} \varphi_n \\ \vdots & \vdots & \ddots & \vdots \\ \varphi_n \hat{H} \varphi_1 & \varphi_n \hat{H} \varphi_2 & \dots & \varphi_n \hat{H} \varphi_n \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \\ \vdots \\ c_n \end{pmatrix} = \begin{pmatrix} E \varphi_1 \varphi_1 & E \varphi_1 \varphi_2 & \dots & E \varphi_1 \varphi_n \\ E \varphi_2 \varphi_1 & E \varphi_2 \varphi_2 & \dots & E \varphi_2 \varphi_n \\ \vdots & \vdots & \ddots & \vdots \\ E \varphi_n \varphi_1 & E \varphi_n \varphi_2 & \dots & E \varphi_n \varphi_n \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \\ \vdots \\ c_n \end{pmatrix}$$

Převědeme na 1 stranu a spojíme do 1 matice:

$$\begin{pmatrix} \varphi_1 \hat{H} \varphi_1 & \varphi_1 \hat{H} \varphi_2 & \dots & \varphi_1 \hat{H} \varphi_n \\ \varphi_2 \hat{H} \varphi_1 & \varphi_2 \hat{H} \varphi_2 & \dots & \varphi_2 \hat{H} \varphi_n \\ \vdots & \vdots & \ddots & \vdots \\ \varphi_n \hat{H} \varphi_1 & \varphi_n \hat{H} \varphi_2 & \dots & \varphi_n \hat{H} \varphi_n \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \\ \vdots \\ c_n \end{pmatrix} - \begin{pmatrix} E \varphi_1 \varphi_1 & E \varphi_1 \varphi_2 & \dots & E \varphi_1 \varphi_n \\ E \varphi_2 \varphi_1 & E \varphi_2 \varphi_2 & \dots & E \varphi_2 \varphi_n \\ \vdots & \vdots & \ddots & \vdots \\ E \varphi_n \varphi_1 & E \varphi_n \varphi_2 & \dots & E \varphi_n \varphi_n \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \\ \vdots \\ c_n \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{pmatrix}$$

$$\begin{pmatrix} \varphi_1 \hat{H} \varphi_1 - E \varphi_1 \varphi_1 & \varphi_1 \hat{H} \varphi_2 - E \varphi_1 \varphi_2 & \dots & \varphi_1 \hat{H} \varphi_n - E \varphi_1 \varphi_n \\ \varphi_2 \hat{H} \varphi_1 - E \varphi_2 \varphi_1 & \varphi_2 \hat{H} \varphi_2 - E \varphi_2 \varphi_2 & \dots & \varphi_2 \hat{H} \varphi_n - E \varphi_2 \varphi_n \\ \vdots & \vdots & \ddots & \vdots \\ \varphi_n \hat{H} \varphi_1 - E \varphi_n \varphi_1 & \varphi_n \hat{H} \varphi_2 - E \varphi_n \varphi_2 & \dots & \varphi_n \hat{H} \varphi_n - E \varphi_n \varphi_n \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \\ \vdots \\ c_n \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{pmatrix}$$

Soustava rovnic má netriviální řešení, jen pokud je determinant matice = 0:

$$\varphi_i \hat{H} \varphi_j = H_{ij} \quad \varphi_i \varphi_j = S_{ij} \quad \varphi_i \varphi_i = 1$$

$$\begin{pmatrix} H_{11} - E & H_{12} - ES_{12} & \dots & H_{1n} - ES_{1n} \\ H_{21} - ES_{21} & H_{22} - E & \dots & H_{2n} - ES_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ H_{n1} - ES_{n1} & H_{n2} - ES_{n2} & \dots & H_{nn} - E \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \\ \vdots \\ c_n \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{pmatrix}$$

$$(1) \quad \hat{H}\Phi = E\Phi \quad (2) \quad \Phi = \sum_{i=1}^n c_i \varphi_i$$

dosazením (2) do (1) \rightarrow (3)

$$(3) \quad \hat{H}(c_1\varphi_1 + c_2\varphi_2 + \dots + c_n\varphi_n) = E(c_1\varphi_1 + c_2\varphi_2 + \dots + c_n\varphi_n)$$

Neznámé: E, c_i

$$\det \| H_{ij} - ES_{ij} \| = \begin{vmatrix} H_{11} - E & H_{12} - ES_{12} & \dots & H_{1n} - ES_{1n} \\ H_{21} - ES_{21} & H_{22} - E & \dots & H_{2n} - ES_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ H_{n1} - ES_{n1} & H_{n2} - ES_{n2} & \dots & H_{nn} - E \end{vmatrix} = 0$$

$$H\vec{c}_k^H = E_k^H \vec{c}_k^H \quad k=1 \dots n$$

$$B = P^{-1}HP: E_k^B = E_k^H \quad \vec{c}_k^B = P^{-1}\vec{c}_k^H \quad \vec{c}_k^H = P\vec{c}_k^B$$

Jacobiho metoda, Givensovy matice $P \Rightarrow$

$$B = \begin{pmatrix} E_1 & 0 & \dots & 0 \\ 0 & E_2 & \dots & 0 \\ \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & \dots & E_n \end{pmatrix}$$

$$\begin{pmatrix} E_1 - E_k & 0 & \dots & 0 \\ 0 & E_2 - E_k & \dots & 0 \\ \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & \dots & E_n - E_k \end{pmatrix} \begin{pmatrix} c_{k1}^B \\ c_{k2}^B \\ \vdots \\ c_{kn}^B \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{pmatrix}$$

$$\vec{c}_1^B = (1, 0, \dots, 0), \vec{c}_2^B = (0, 1, \dots, 0), \dots$$

$$\begin{aligned} H\vec{c}_k^H &= E_k^H \vec{c}_k^H \\ H\vec{c}_k^H - E_k^H \vec{c}_k^H &= 0 \\ (H - IE_k^H)\vec{c}_k^H &= 0 \\ I: \text{jednotková matice} \end{aligned}$$

(1) $\hat{H}\Phi = E\Phi$ (2) $\Phi = \sum_{i=1}^n c_i \varphi_i$
 dosazením (2) do (1) \rightarrow (3)
 (3) $\hat{H}(c_1\varphi_1 + c_2\varphi_2 + \dots + c_n\varphi_n) = E(c_1\varphi_1 + c_2\varphi_2 + \dots + c_n\varphi_n)$
 Neznámé: E, c_i

Komplexní matice:

$$(\mathbb{R}, I) \rightarrow \begin{pmatrix} \mathbb{R} & I \\ -I & \mathbb{R} \end{pmatrix}$$

$$\begin{array}{l} \varphi_1 \quad \varphi_2 \quad \dots \quad \varphi_n \\ E_1 \quad \left[\begin{array}{cccc} c_{11} & c_{12} & \dots & c_{1n} \end{array} \right] \quad \sum c_{1j}^2 = 1 \\ E_2 \quad \left[\begin{array}{cccc} c_{21} & c_{22} & \dots & c_{2n} \end{array} \right] \quad \sum c_{2j}^2 = 1 \\ \vdots \quad \left[\begin{array}{cccc} \vdots & \vdots & \vdots & \vdots \end{array} \right] \\ E_n \quad \left[\begin{array}{cccc} c_{n1} & c_{n2} & \dots & c_{nn} \end{array} \right] \quad \sum c_{nj}^2 = 1 \\ \sum c_{i1}^2 = 1 \quad \sum c_{i2}^2 = 1 \quad \dots \quad \sum c_{in}^2 = 1 \end{array}$$

Soustava rovnic pro $i = 1, 2, \dots, N$ $\sum_{j=1}^N c_j [H_{ij} - ES_{ij}] = 0$ $S_{ii} = 1$

$$\begin{matrix} c_1[H_{11} - E] & + c_2[H_{12} - ES_{12}] & \dots & + c_n[H_{1n} - ES_{1n}] = 0 \\ c_1[H_{21} - ES_{21}] & + c_2[H_{22} - E] & \dots & + c_n[H_{2n} - ES_{2n}] = 0 \\ \vdots & \vdots & & \vdots \\ c_1[H_{n1} - ES_{n1}] & + c_2[H_{n2} - ES_{n2}] & \dots & + c_n[H_{nn} - E] = 0 \end{matrix}$$

Soustava rovnic má řešení, pokud je determinant matice $H_{ij} - ES_{ij} = 0$:

$$\det \|H_{ij} - ES_{ij}\| = \begin{vmatrix} H_{11} - E & H_{12} - ES_{12} & \dots & H_{1n} - ES_{1n} \\ H_{21} - ES_{21} & H_{22} - E & \dots & H_{2n} - ES_{2n} \\ \vdots & \vdots & & \vdots \\ H_{n1} - ES_{n1} & H_{n2} - ES_{n2} & \dots & H_{nn} - E \end{vmatrix} = 0$$

$$H_{ij} = \int_{\tau} \varphi_j^* \hat{H} \varphi_i d\tau$$

H_{ij} : výměnný (rezonanční) integrál

H_{ii} ($i=j$): "on-site" energie jednotlivých bázových stavů.

$$S_{ij} = \int_{\tau} \varphi_j^* \varphi_i d\tau$$

S_{ij} : překryvový integrál. S_{ii} ($i=j$) = 1, S_{ij} ($i \neq j$) \rightarrow 0.

Výpočet determinantu \rightarrow sekulární rovnice N.řádu, řešením je N vlastních čísel E_i (energie) pro každé E_i , dostaneme N koeficientů c_{ij} (vlastních vektorů) vyřešením soustavy rovnic.

E_i : energie funkce $\Phi_i = \sum_j c_{ij} \varphi_i$

Závisí-li potenciál na funkcích Φ_i , tzn. na hledaných koeficientech c_{ij} , musí se sekulární rovnice řešit iteračně, tzv. metodou SCF (self-consistent field)

$$\hat{H}\Psi = E\Psi$$

ψ : přesná vlnová funkce

$$\hat{H}\Phi = E\Phi$$

Φ : přibližná vlnová funkce vyjádřená v bázi φ

$$\Psi \approx \Phi = \sum_i^N c_i \varphi_i$$

$\psi = \Phi$ pro $N \rightarrow \infty$

φ : např. atomové orbitaly, rovinné vlny, ...

$$\hat{H}\Phi = E\Phi \rightarrow \Phi^* \hat{H}\Phi = \Phi^* E\Phi \rightarrow \int_{\tau} \Phi^* \hat{H}\Phi d\tau = E \int_{\tau} \Phi^* \Phi d\tau$$

$$E = \frac{\int_{\tau} \Phi^* \hat{H}\Phi d\tau}{\int_{\tau} \Phi^* \Phi d\tau} = \frac{\int_{\tau} \sum_j^N c_j^* \varphi_j^* \hat{H} \sum_j^N c_j \varphi_j d\tau}{\int_{\tau} \sum_j^N c_j^* \varphi_j^* \sum_j^N c_j \varphi_j d\tau} = \frac{\sum_{i,j}^N c_j^* c_i H_{ij}}{\sum_{i,j}^N c_j^* c_i S_{ij}} \rightarrow$$

$$\sum_{i,j}^N c_j^* c_i H_{ij} - E \sum_{i,j}^N c_j^* c_i S_{ij} = 0$$

$$H_{ij} = \int_{\tau} \varphi_j^* \hat{H} \varphi_i d\tau$$

H_{ij} : výměnný (rezonanční) integrál

H_{ii} ($i=j$): "on-site" energie jednotlivých bazových stavů.

$$S_{ij} = \int_{\tau} \varphi_j^* \varphi_i d\tau$$

S_{ij} : překryvový integrál. S_{ii} ($i=j$) = 1

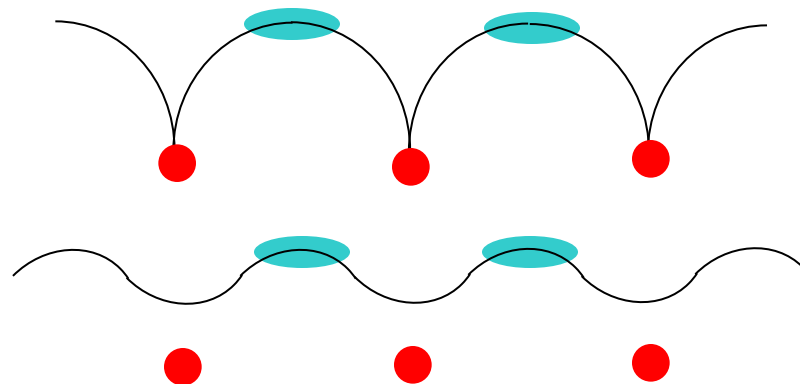
$$V(x) = \sum V_G \exp[i\vec{G}\vec{x}] = V_0 + V_{\pm 1} \exp[\pm i \frac{2\pi}{a} x] + V_{\pm 2} \exp[\pm i \frac{4\pi}{a} x] + \dots \quad \vec{G} = \frac{2\pi}{a} \vec{j}$$

$V_G = V_{-G}^*$: Potenciál je reálný \vec{j} : mřížové vektory. Pro 1D $j = 0, \pm 1, \pm 2, \dots$

Skutečný potenciál:

v okolí jádra je obrovská přitažlivá síla

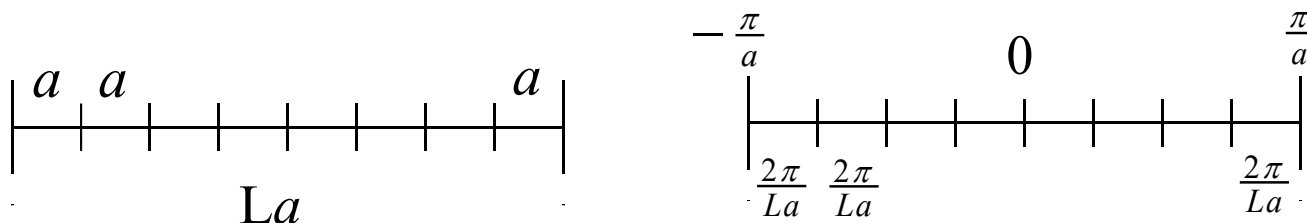
Zajímá-li nás potenciál, ve kterém se pohybují elektrony (především valenční), můžeme okolí jádra zanedbat.



Funkce: $\Phi(x) = \Phi(x + La) = \sum_k c_k \exp[i\vec{k}\vec{x}] \quad \vec{k} = \frac{2\pi}{La} \vec{l} \quad \text{Pro 1D } l = 0, \pm 1, \pm 2, \dots, \pm L/2$

Potenciál se opakuje po periodě a , funkce se opakuje po periodě La .

V recipročním prostoru je 1.Brillouinova zóna $2\pi/a$, funkce se počítá po $2\pi/La$.



Vlnovou funkci a potenciál
dosadíme do
Schrödingerovy rovnice

$$\Phi(x) = \sum_k c_k \exp[i\vec{k}\vec{x}] \quad V(x) = \sum_G V_G \exp[i\vec{G}\vec{x}]$$

$$-\frac{\hbar^2}{2m} \Delta\Phi + \hat{V}\Phi = E\Phi$$

$$\sum_k \frac{\hbar^2 k^2}{2m} c_k e^{i\vec{k}\vec{x}} + \sum_k \sum_G c_k V_G e^{i(\vec{k}+\vec{G})\vec{x}} = E \sum_k c_k e^{i\vec{k}\vec{x}}$$

$$\vec{k}' = \vec{k} + \vec{G} \rightarrow \sum_k \sum_G c_{k-G} V_G e^{i\vec{k}\vec{x}}, \quad \vec{k}' \equiv \vec{k}$$

$$\sum_k \left[\left(\frac{\hbar^2 k^2}{2m} - E_k \right) c_k + \sum_G c_{k-G} V_G \right] e^{i\vec{k}\vec{x}} = 0$$

Aby byla tato suma =0, musí být každý člen v [] =0.

$$\left(\frac{\hbar^2 k^2}{2m} - E_k \right) c_k + \sum_G c_{k-G} V_G = 0$$

Master equation: soustava L rovnic, formulace sekulární rovnice pro bázi rovinných vln.
různá řešení c_k v rámci 1. Brillouinovy zóny
 $-G/2 \leq k \leq G/2$ ($-\pi/a \leq l(2\pi/La) \leq \pi/a$)

$$\left(\frac{\hbar^2 k^2}{2m} - E_k\right) c_k + \sum_G c_{k-G} V_G = 0$$

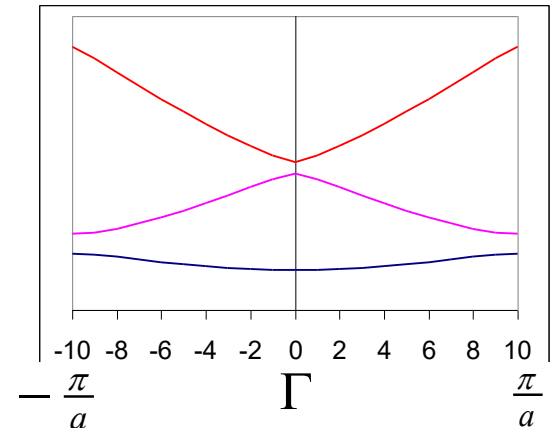
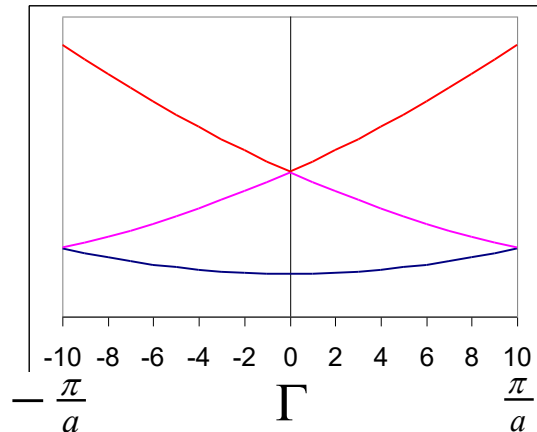
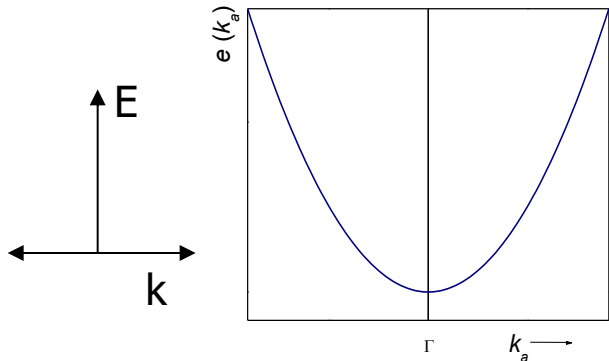
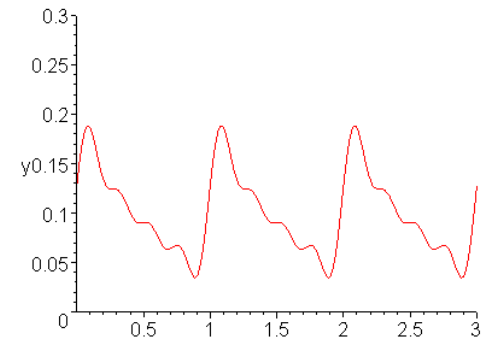
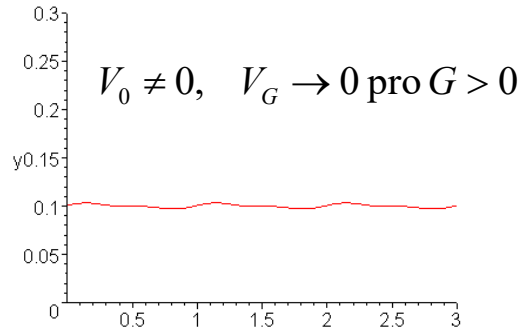
master equation – tvoří soustavu L rovnic: různá řešení c_k
 v rámci 1. Brillouinovy zóny - $-\pi/a \leq k \leq \pi/a$

$$V_G = V_{-G}^* : V_G = A_G + iB_G, \quad V_{-G} = A_G - iB_G \quad V(x) = V_0 + \sum_G A_G \cos(Gx) - B_G \sin(Gx)$$

$$\begin{vmatrix} \lambda_{k-G} - (E_k - V_0) & V_1 & V_2 \\ V_{-1} & \lambda_k - (E_k - V_0) & V_1 \\ V_{-2} & V_{-1} & \lambda_{k+G} - (E_k - V_0) \end{vmatrix}$$

$$\lambda_k = \frac{\hbar^2 k^2}{2m}$$

$$E = \frac{mv^2}{2} = \frac{\mathbf{p}^2}{2m} = \frac{\hbar^2 k^2}{2m}$$



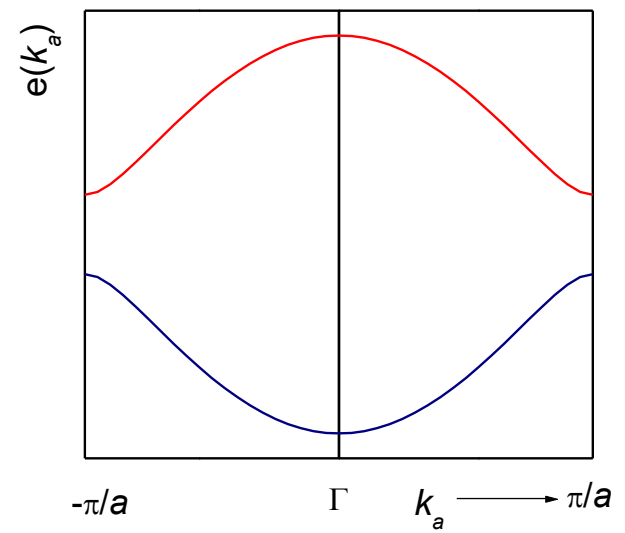
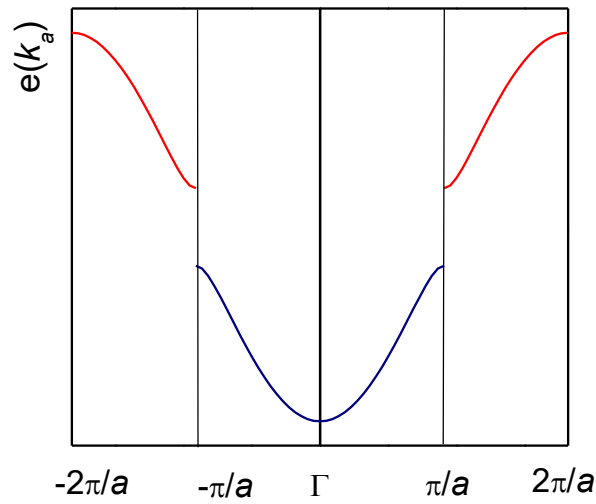
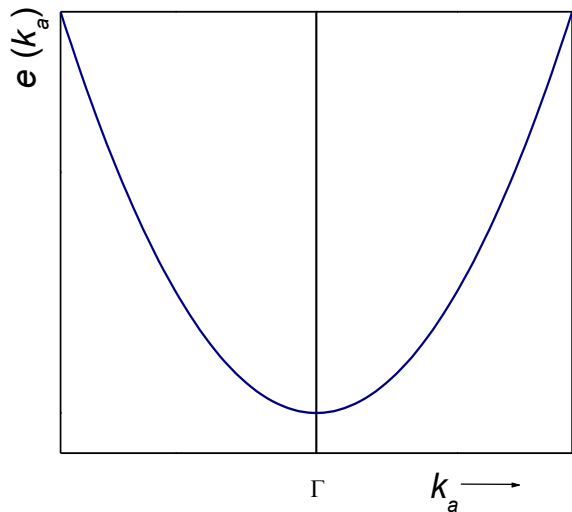
k - kvantové číslo
vlnový vektor

$$k = \frac{2\pi}{\lambda}$$

$$\mathbf{p} = m\mathbf{v} = \frac{h}{\lambda} = \hbar k$$

počet dovolených hodnot k = počet elementárních buněk v krystalu

volné elektrony:
$$E = \frac{mv^2}{2} = \frac{\mathbf{p}^2}{2m} = \frac{\hbar^2 k^2}{2m}$$



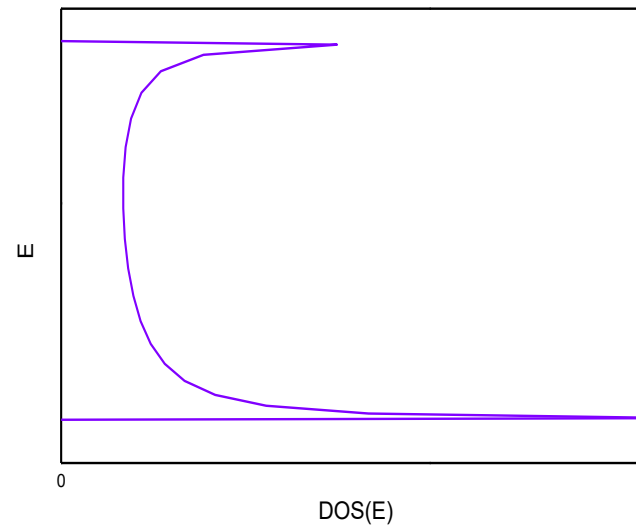
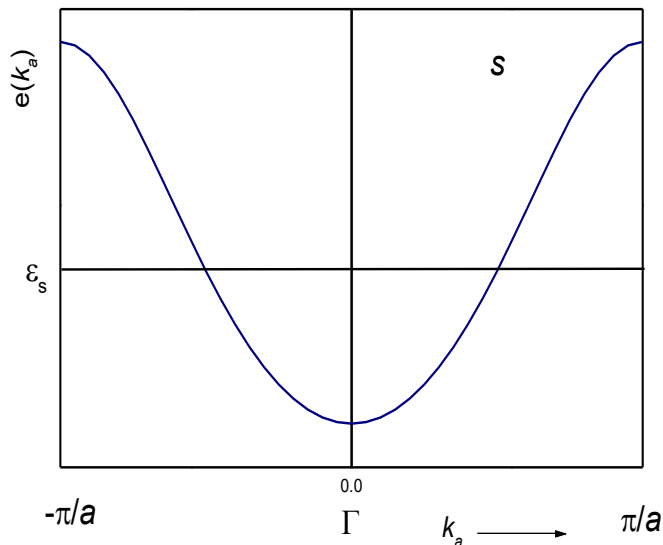
šířka pásu: dána překryvem interagujících orbitalů (jako u MO)

DOS(E), g(E) - počet dovolených energetických hladin na jednotkový energetický interval

platí: **g(E)*dE** = počet hladin v intervalu (**E ; E+dE**)

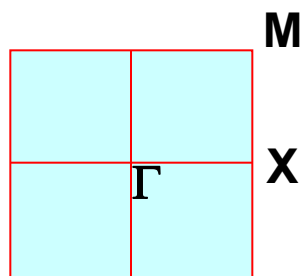
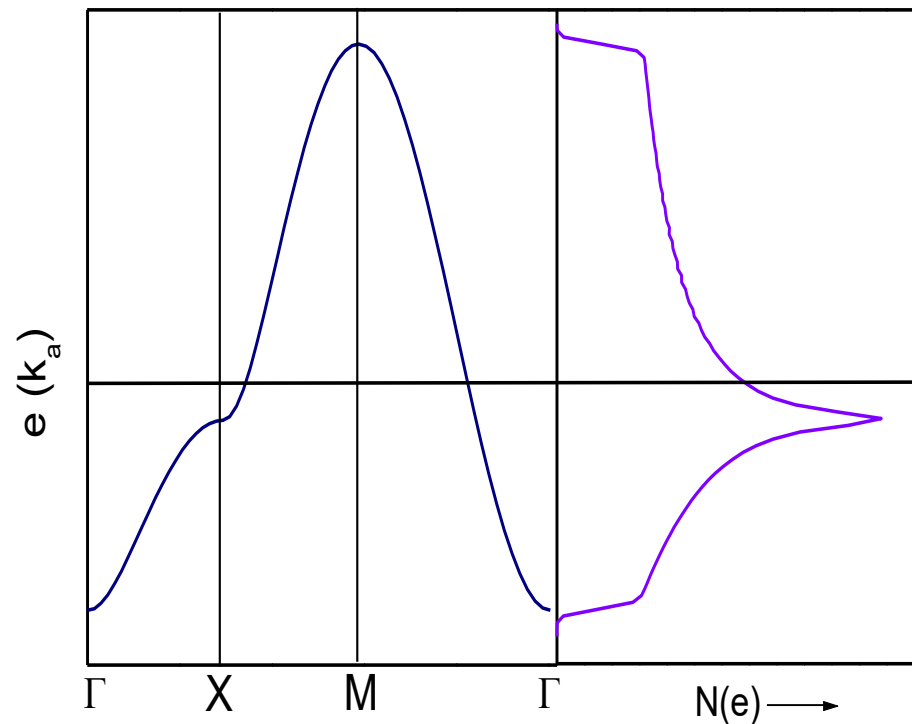
jeden rozměr: $g(E) = 2 \frac{a}{2\pi} \left(\frac{\partial E}{\partial k} \right)^{-1}$

obecně: $g(E) = \frac{2}{V_{BZ}} \sum_n \int_{S_k} \frac{dS_k}{|\nabla_k E_{n,k}|}$

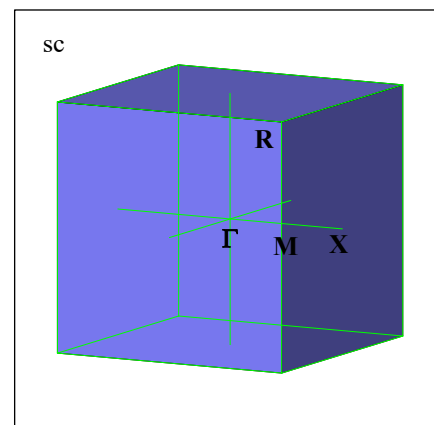
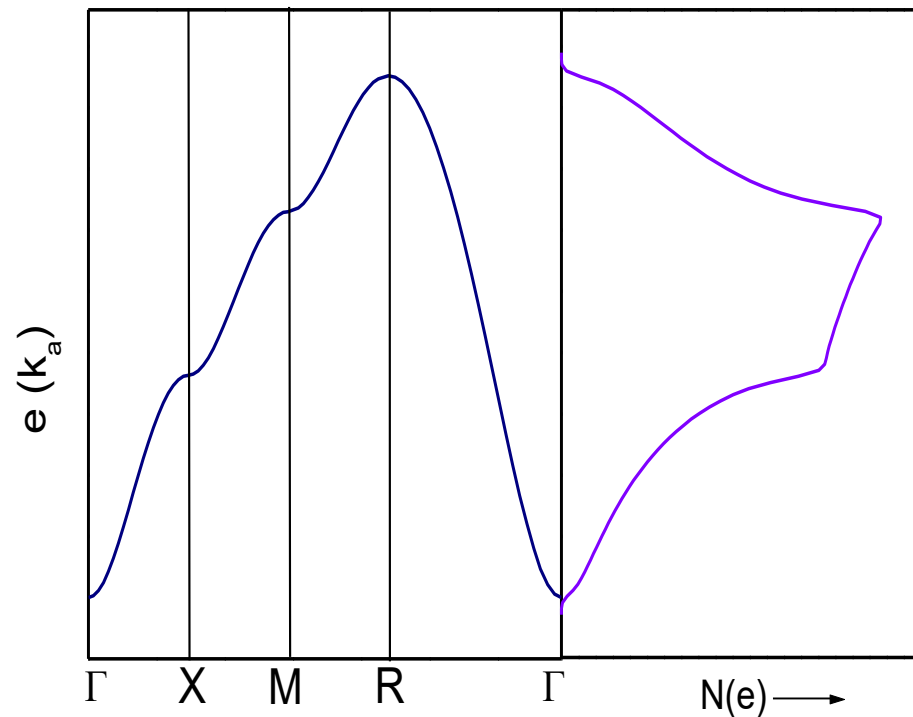


numericky: $g(E) = \frac{2}{\pi^2 \Delta} \sum_n \sum_k e^{-\left(\frac{E - E_{n,k}}{\Delta} \right)^2}$

2-D



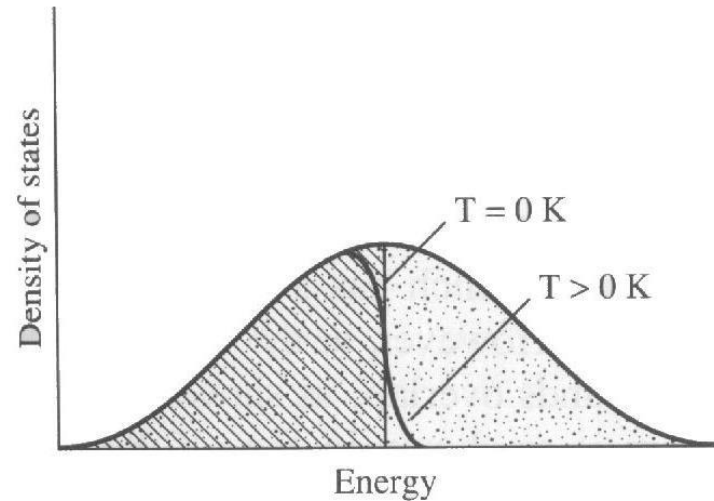
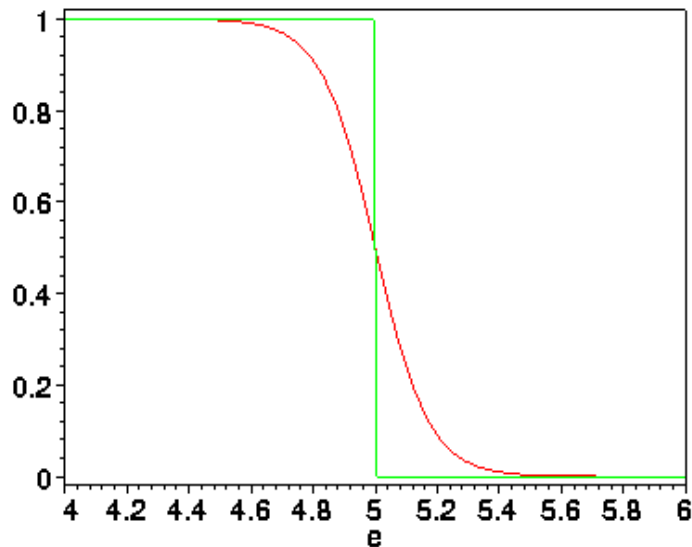
3-D



Fermiho hladina (mez) - nejvyšší zaplněná hladina při **T=0 K**

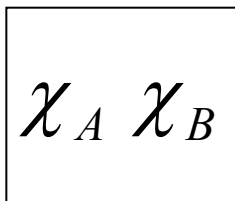
T>0: platí Fermi-Diracova statistika:
zaplněné stavy **DOS(E)*f(E)**

$$f(E) = \frac{1}{\exp((E - E_F)/k_B T) + 1}$$



Fermiho plocha - množina **k** v k-prostoru, pro kterou platí $E(\mathbf{k}) = E_F$

buňka obsahující
2 identické orbitály



$$\varphi_i = \sum_{\mu}^N c_{i\mu} \chi_{\mu}$$

φ_i : molekulový orbital, χ_{μ} : atomový orbital

$$\sum_{i=1}^N c_j [H_{ij} - ES_{ij}] = 0$$

$$\chi_A = \chi_B,$$

$$H_{AA} = \int \chi_A^*(R_A) \hat{H} \chi_A(R_A) = H_{BB} = \alpha$$

$$H_{AB} = \int \chi_A^*(R_A) \hat{H} \chi_B(R_B) = \int \chi_B(R_B) \hat{H}^* \chi_A^*(R_A) = H_{BA}^* = \beta$$

$$S_{AB} = \int \chi_A^*(R_A) \chi_B(R_B) = \int \chi_B(R_B) \chi_A^*(R_A) = S_{BA}^* = S$$

$$\begin{pmatrix} H_{AA} - E & H_{AB} - ES_{AB} \\ H_{BA} - ES_{BA} & H_{BB} - E \end{pmatrix} = \begin{pmatrix} \alpha - E & \beta - ES \\ \beta^* - ES^* & \alpha - E \end{pmatrix}$$

$$\det \begin{pmatrix} \alpha - E & \beta - ES \\ (\beta - ES)^* & \alpha - E \end{pmatrix} = (\alpha - E)^2 - (\beta - ES)^2 = 0$$

$$\alpha - E = \pm(\beta - ES) \quad E_{12} = \frac{\alpha \pm \beta}{1 \pm S}, \quad \beta < 0 \Rightarrow E_1 < E_2$$

$$\alpha_1 = \alpha_2$$

$$(\beta < 0, S \ll 1)$$

$$E_2 = \alpha - \beta, \quad \frac{1}{\sqrt{2}}(\varphi_1 - \varphi_2) \quad \text{pink circle} \quad \text{cyan circle}$$

$$E_1 = \alpha + \beta, \quad \frac{1}{\sqrt{2}}(\varphi_1 + \varphi_2) \quad \text{cyan circle} \quad \text{cyan circle}$$

$$\det \begin{pmatrix} \alpha_1 - E & 0 \\ 0 & \alpha_2 - E \end{pmatrix} = (\alpha_1 - E)(\alpha_2 - E) = 0$$

$$E_1 = \alpha_1 \quad E_2 = \alpha_2 \quad \text{pink circle} \quad \text{cyan circle}$$

($\beta = 0, S = 0$)

$$E_2: c_1 [\alpha - (\alpha - \beta)] + c_2 \beta = 0 \rightarrow c_1 \beta + c_2 \beta = 0 \rightarrow c_1 = -c_2$$

$$E_1: c_1 [\alpha - (\alpha + \beta)] + c_2 \beta = 0 \rightarrow c_1 \beta - c_2 \beta = 0 \rightarrow c_1 = c_2$$

$$\sqrt{c_1^2 + c_2^2} = 1$$

$\alpha = \varepsilon$: coulombická energie (energie AO)

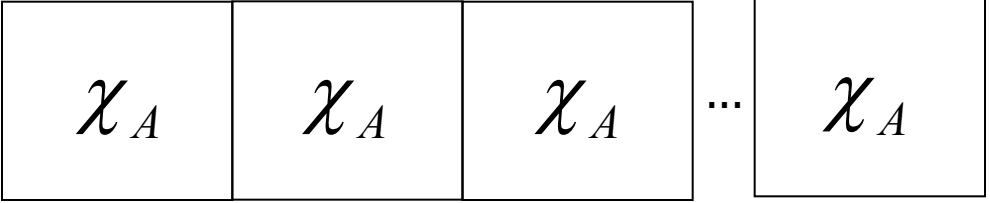
β (<0) = t : výměnná energie (míra vazebné energie)

S (0-1) : překryvový integrál

$$\alpha = \int \chi_A^*(R_A) \hat{H} \chi_A(R_A)$$

$$\beta = \int \chi_A^*(R_A) \hat{H} \chi_B(R_B)$$

$$S = \int \chi_A^*(R_A) \chi_B(R_B) \quad 27$$



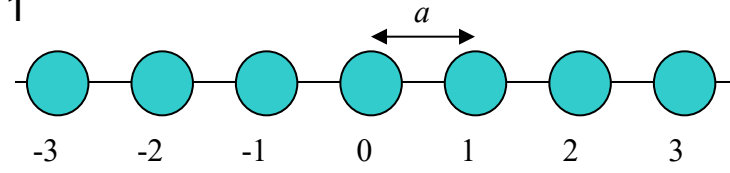
$$\Phi_{BO}(r, k) = \frac{1}{\sqrt{N}} \sum_n \chi_\mu(r - na) \exp(ikna)$$

Φ_{BO} : Blochův orbital, χ_μ : atomový orbital

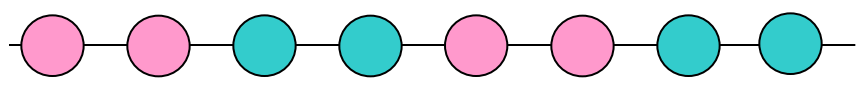
$$\Phi_{BO} = \chi_\mu(r) + \chi_\mu(r-a)e^{ika} + \chi_\mu(r-2a)e^{ik2a} + \dots + \chi_\mu(r-na)e^{ikNa}$$

$$\exp(ikna) = \cos(kna) + i \sin(kna)$$

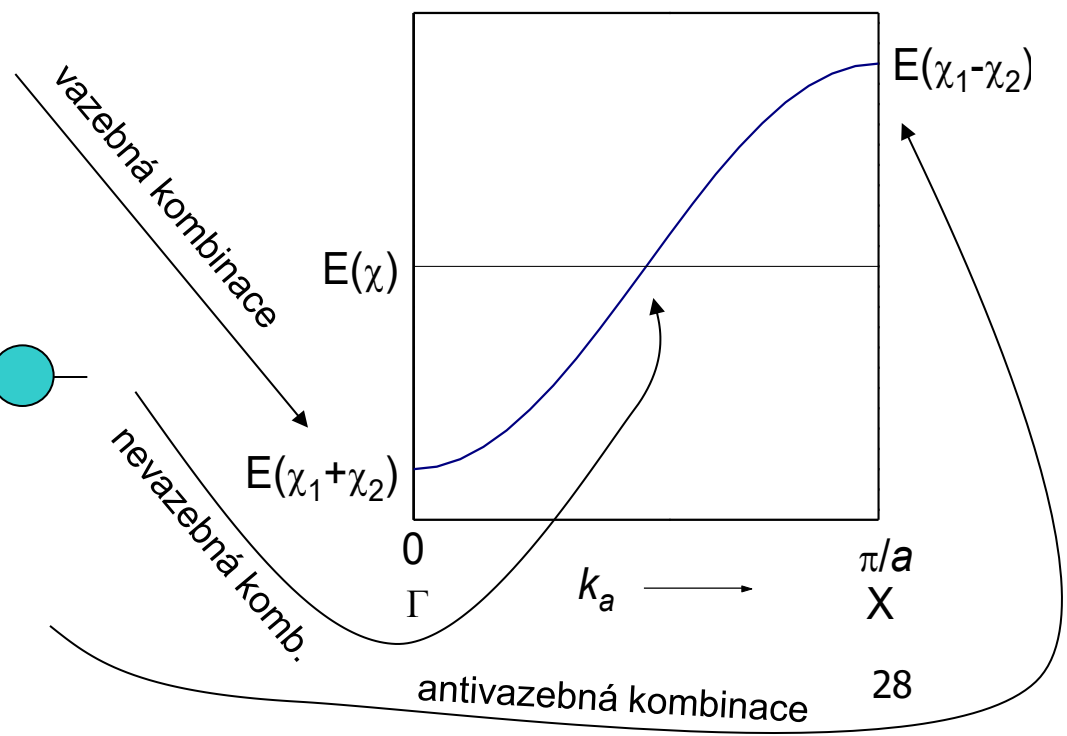
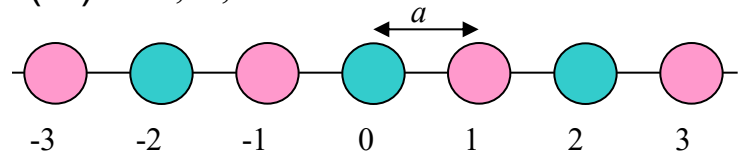
$k=0$ (Γ)
 $e^{i0} = 1$

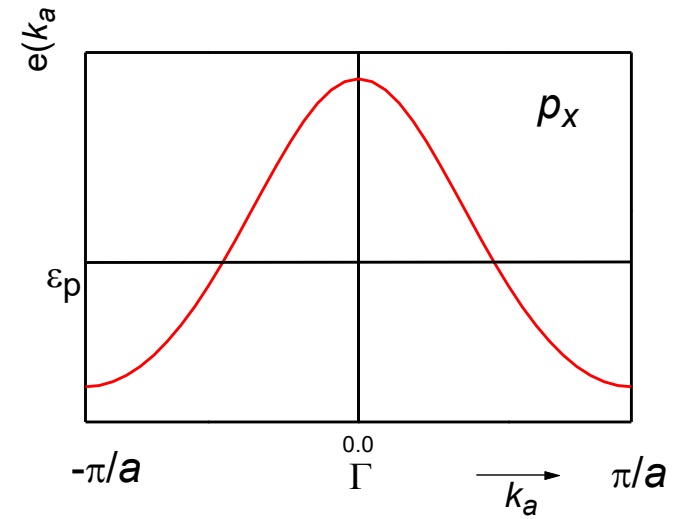
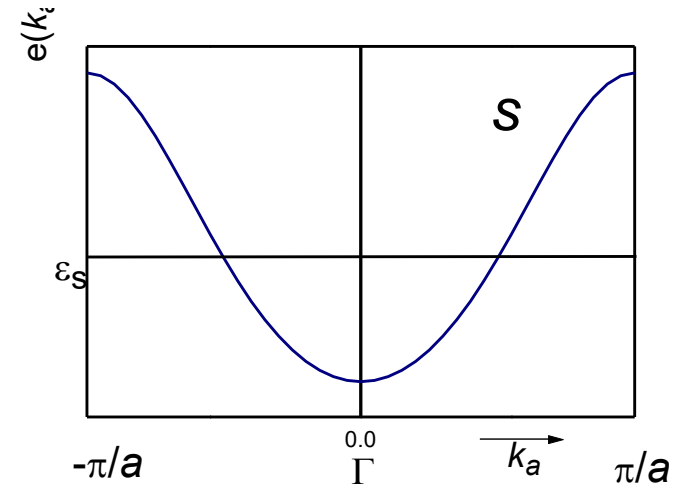
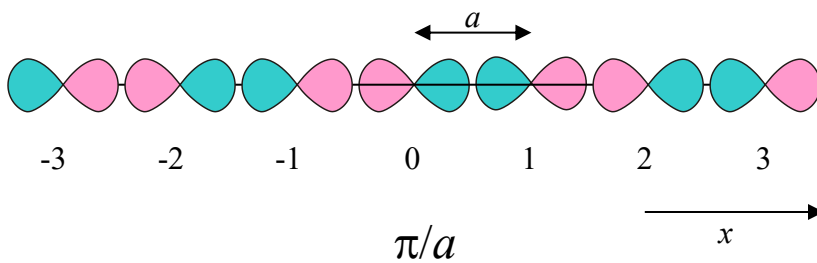
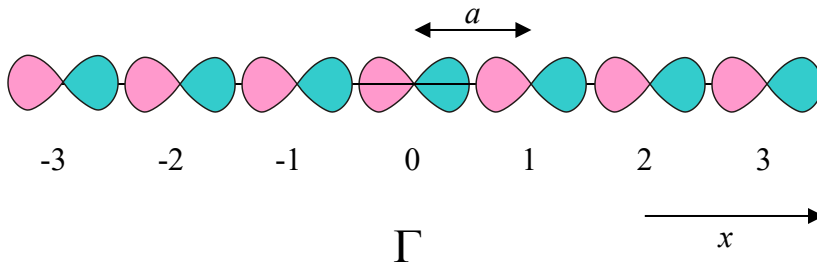
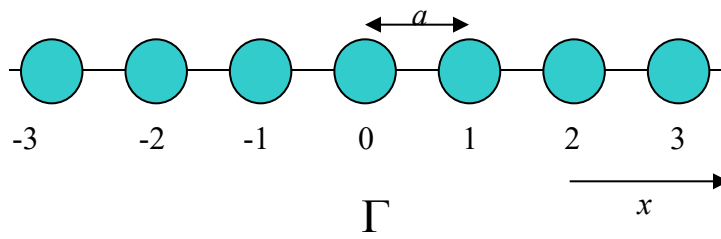
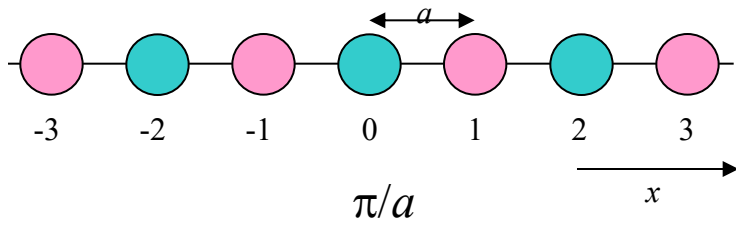


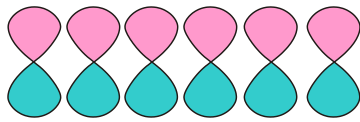
$k=\pm\pi/2a$
 $\cos(n\pi/2) = 1, 0, -1, 0, \dots$ $\sin(n\pi/2) = 0, 1, 0, -1, \dots$



$k=\pm\pi/a$ (X)
 $e^{i\pi n} = (-1)^n = 1, -1, \dots$



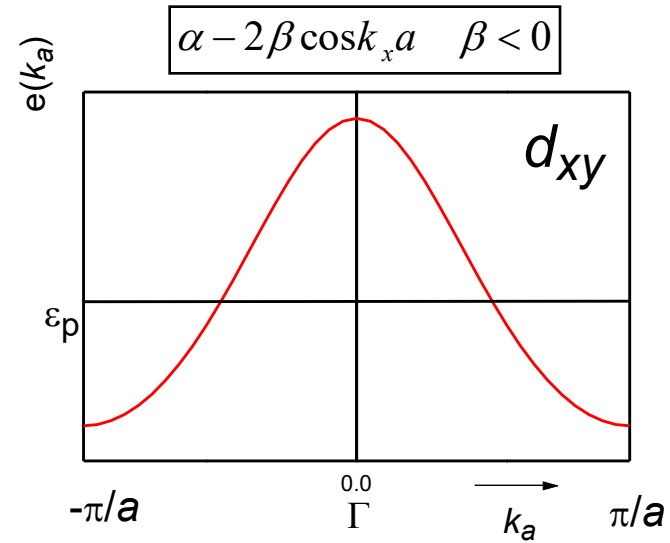
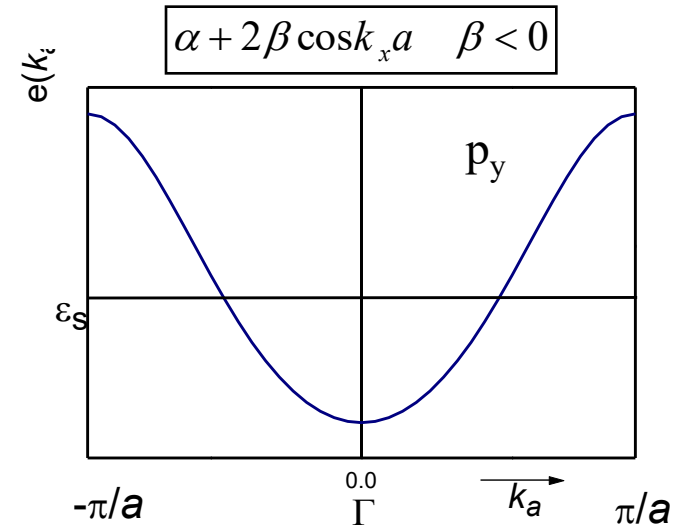
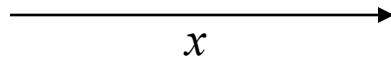




Γ

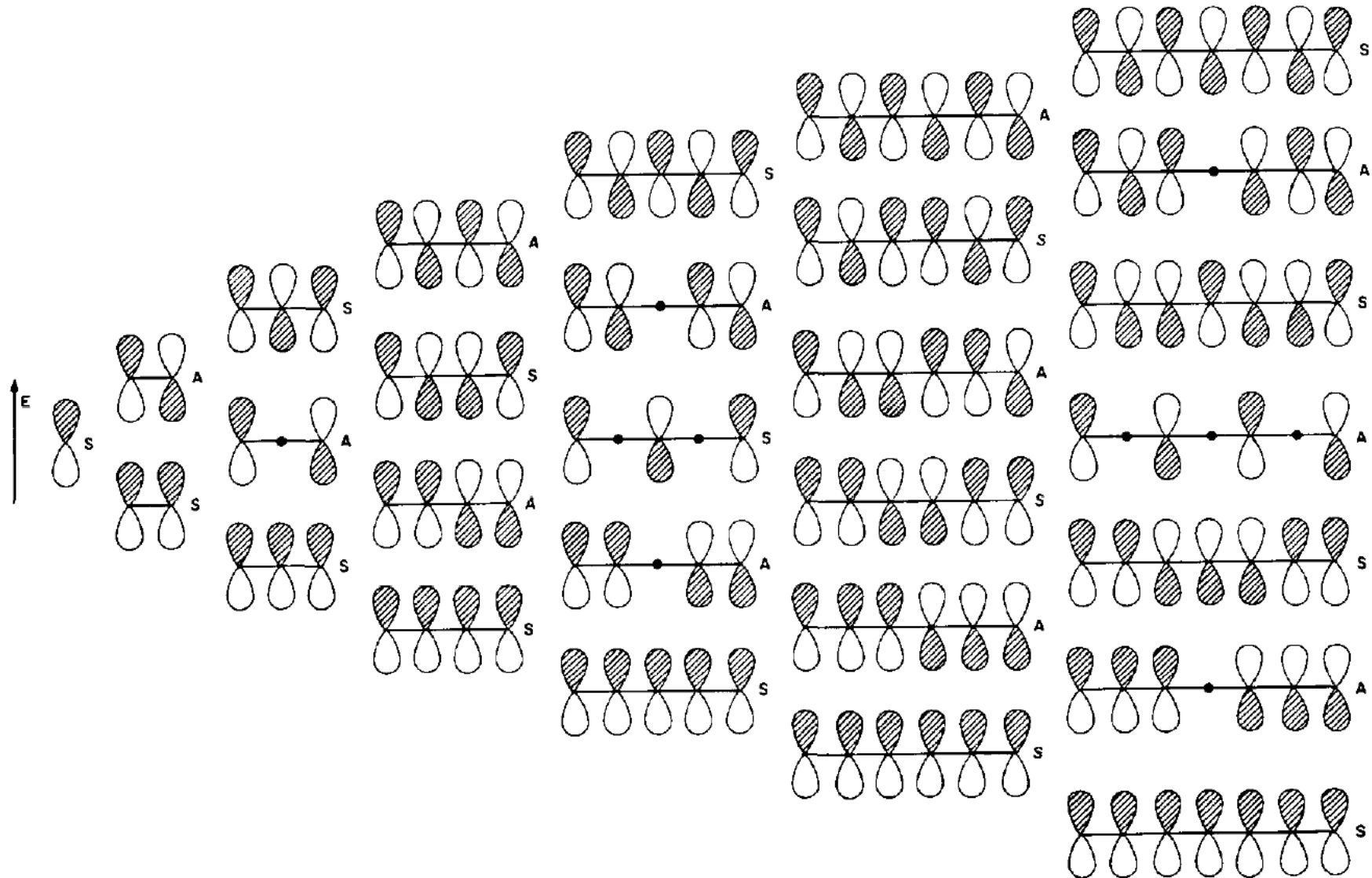


X

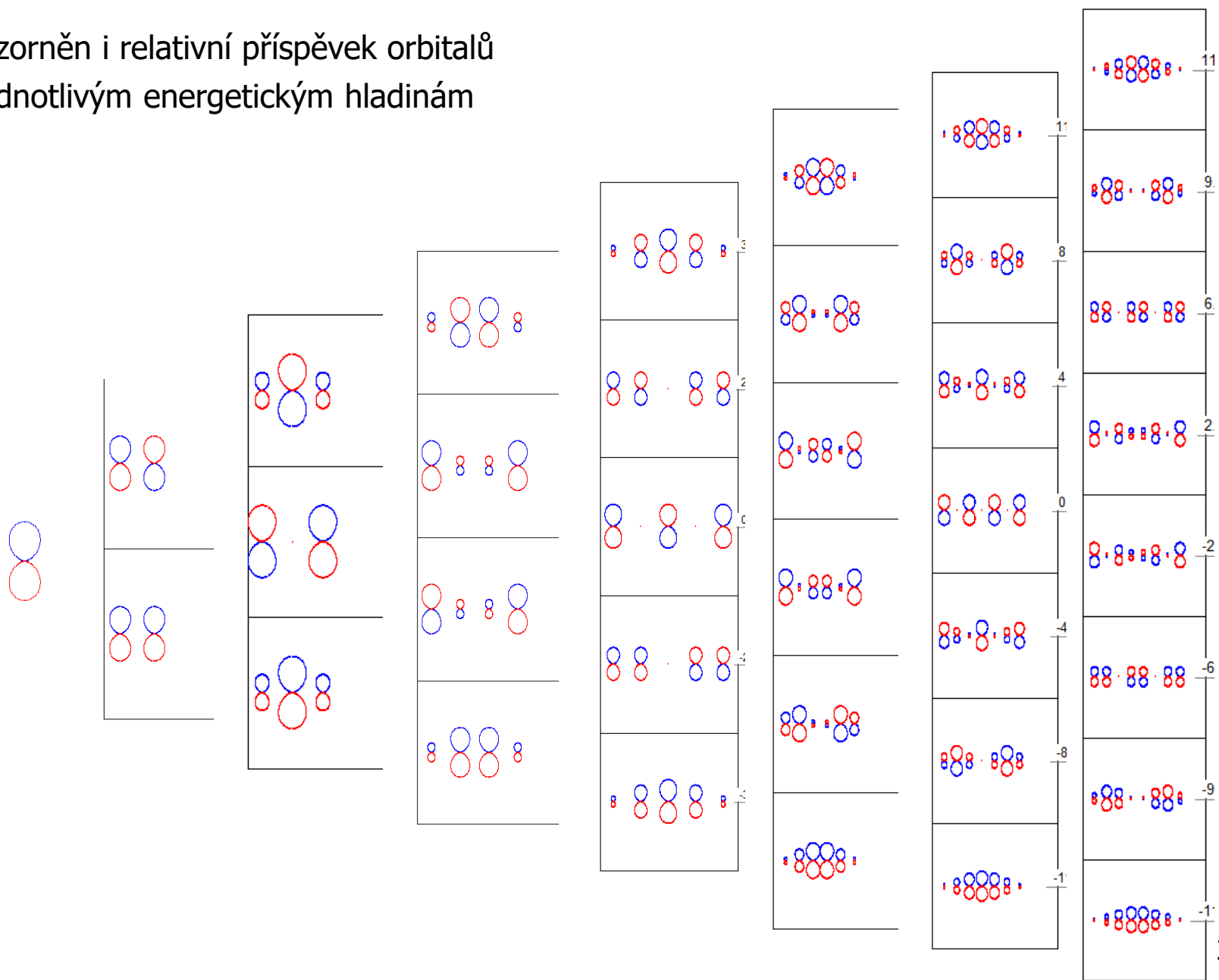


Γ

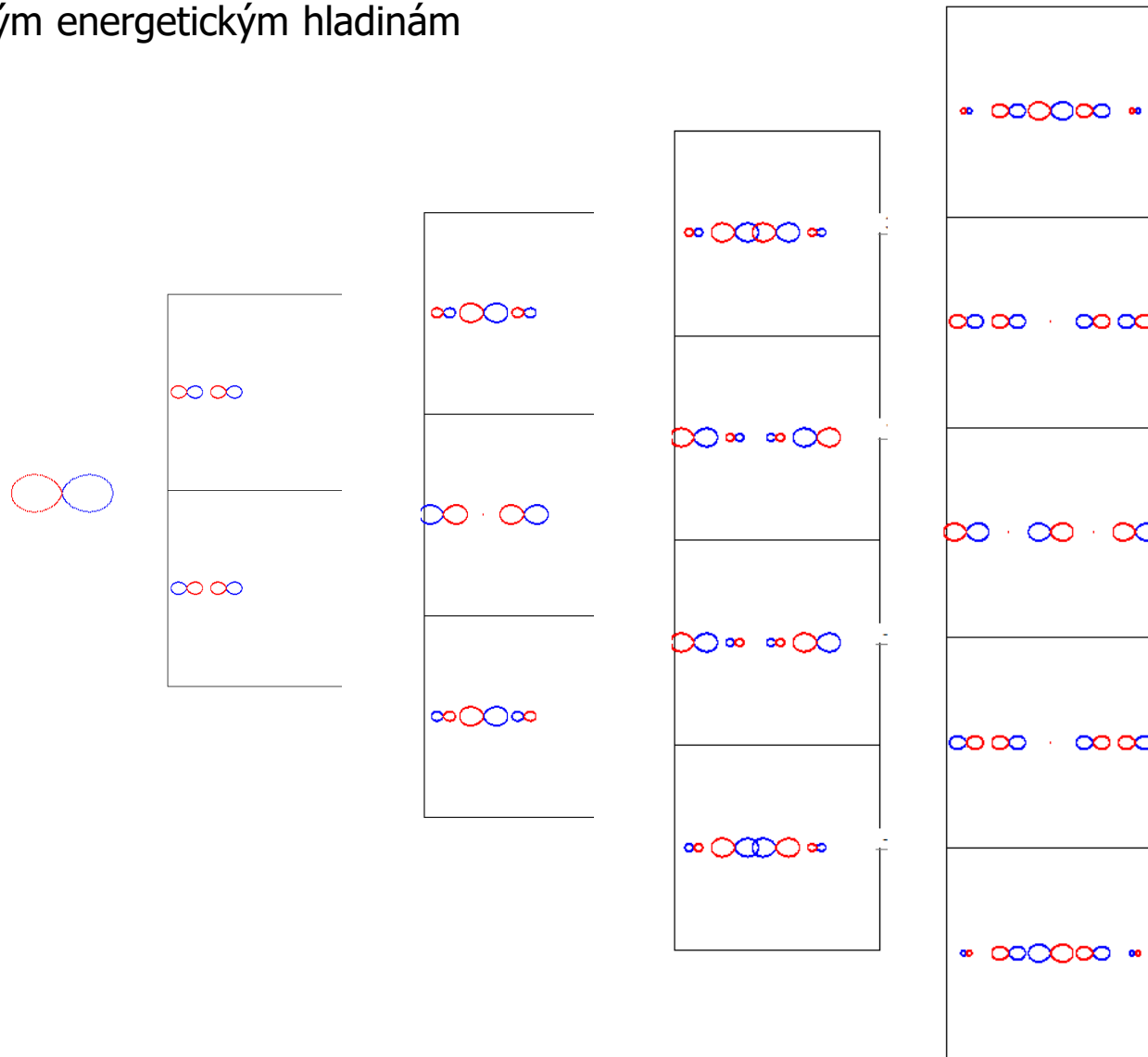
X

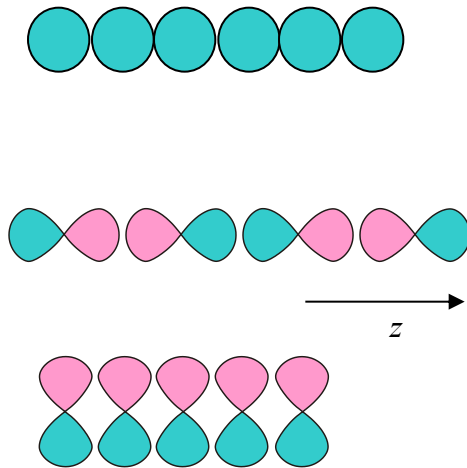


Znázorněn i relativní příspěvek orbitalů
k jednotlivým energetickým hladinám



Znázorněn i relativní příspěvek orbitalů
k jednotlivým energetickým hladinám





Šířka pásu W

$$W_p > W_s$$

p orbitaly dosáhnou blíže k sobě, větší překryv

$$W_z > W_x, W_y$$

σ -vazba > π -vazba

valenční > vnitřní

Delokalizace orbitalů:

$$W(5d) > W(4d) > W(3d)$$

Blízkost energií orbitalů

$$W(\text{Co-O}) > W(\text{Ti-O})$$

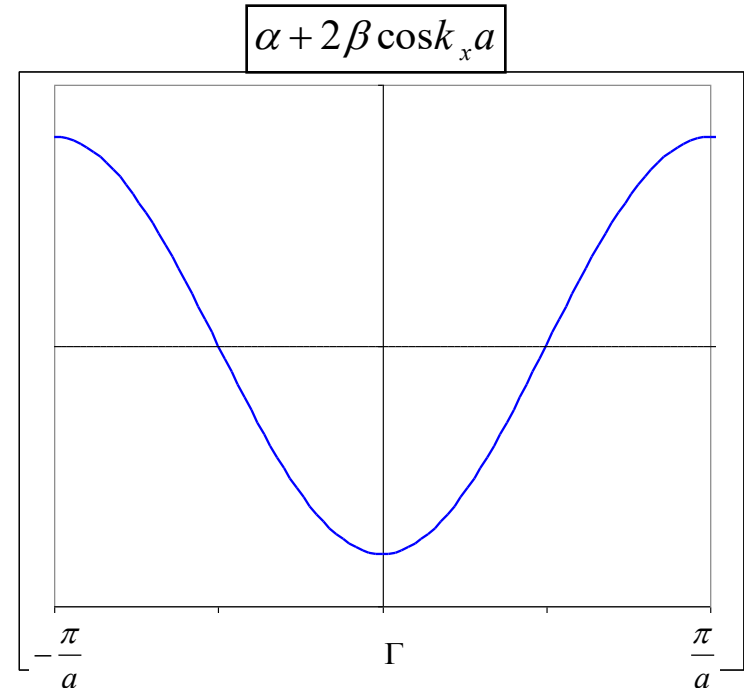
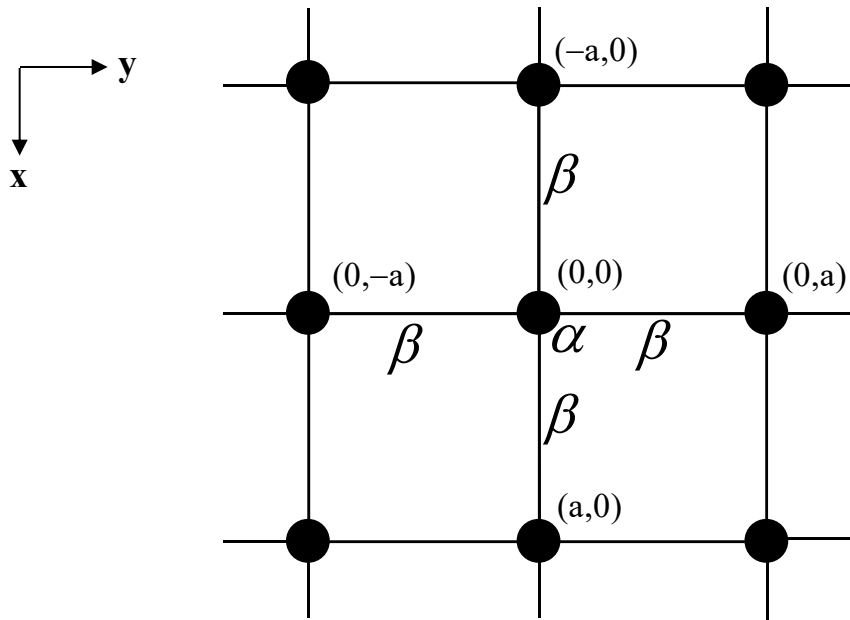
Uvažujeme jen interakce s nejbližšími sousedy:
jen výměnný integrál β s nejbližším sousedem

$$(E \sim \alpha, t \sim \beta, S \ll 1)$$

$$H(\vec{k}) = \alpha + \beta e^{ik_x a} + \beta e^{-ik_x a} = \alpha + 2\beta \cos k_x a$$

$$H(\vec{k}) = \alpha + \beta e^{ik_x a} + \beta e^{-ik_x a} + \beta e^{ik_y a} + \beta e^{-ik_y a} + \beta e^{ik_z a} + \beta e^{-ik_z a} =$$

$$= \alpha + 2\beta(\cos k_x a + \cos k_y a + \cos k_z a)$$



$$H_{ij}(k) = \langle \chi_i(r) | \hat{H} | \chi_j(r) \rangle + \sum_{n=1} \sum_{n'=1} \left[\exp[ik(n-n')a] \langle \chi_i(r-na) | \hat{H} | \chi_j(r-n'a) \rangle \right]$$

$$S_{ij}(k) = \langle \chi_i(r) | \chi_j(r) \rangle + \sum_{n=1} \sum_{n'=1} \left[\exp[ik(n-n')a] \langle \chi_i(r-na) | \chi_j(r-n'a) \rangle \right]$$

Omezení na nejbližší sousedy: $n' = n, n \pm 1$

$$n' = n : \alpha = \langle \chi_i(r) | \hat{H} | \chi_j(r) \rangle;$$

$$n' = n \pm 1 : \beta = \langle \chi_i(r) | \hat{H} | \chi_j(r \pm a) \rangle; \quad S = \langle \chi_i(r) | \chi_j(r \pm a) \rangle$$

$$\exp[ika] + \exp[-ika] = \cos(ka) + i \sin(ka) + \cos(ka) - i \sin(ka) = 2 \cos(ka)$$

$$H_{ij}(k) = \alpha + 2\beta \cos(ka), \quad S_{ij}(k) = 1 + 2S \cos(ka)$$

$$E = \frac{H_{ij}(k)}{S_{ij}(k)} \xrightarrow{s \rightarrow 0} E = \alpha + 2\beta \cos(ka)$$

$$1D : E = \alpha + 2\beta \cos(k_x a)$$

$$3D : E = \alpha + 2\beta [\cos(k_x a) + \cos(k_y a) + \cos(k_z a)]$$

$$\begin{aligned}
H_{ij}(k) &= \langle \Phi_i(k) | \hat{H} | \Phi_j(k) \rangle = \int \sum_{n=0} \chi_i^*(r-na) \exp[-ikna] \hat{H} \sum_{n'=0} \chi_j(r-n'a) \exp[ikn'a] \\
&= \int \left(\chi_i^*(r) + \sum_{n=1} \chi_i^*(r-na) \exp[-ikna] \right) \left(\hat{H} \chi_j(r) + \hat{H} \sum_{n'=1} \chi_j(r-n'a) \exp[ikn'a] \right) \\
&= \langle \chi_i(r) | \hat{H} | \chi_j(r) \rangle + \sum_{n=1} \sum_{n'=1} \left[\exp[ik(n-n')a] \langle \chi_i(r-na) | \hat{H} | \chi_j(r-n'a) \rangle \right]
\end{aligned}$$

$$S_{ij}(k) = \langle \Phi_i(k) | \Phi_j(k) \rangle =$$

$$\langle \chi_i(r) | \chi_j(r) \rangle + \sum_{n=1} \sum_{n'=1} \left[\exp[ik(n-n')a] \langle \chi_i(r-na) | \chi_j(r-n'a) \rangle \right]$$

$$H_{ij}(k) = \langle \chi_i(r) | \hat{H} | \chi_j(r) \rangle + \sum_{n=1} \sum_{n'=1} \left[\exp[ik(n-n')a] \langle \chi_i(r-na) | \hat{H} | \chi_j(r-n'a) \rangle \right]$$

$$S_{ij}(k) = \langle \chi_i(r) | \chi_j(r) \rangle + \sum_{n=1} \sum_{n'=1} \left[\exp[ik(n-n')a] \langle \chi_i(r-na) | \chi_j(r-n'a) \rangle \right]$$

Blochovy orbitaly:
(BO)

$$\phi_j(\mathbf{k}, \mathbf{r}) = \frac{1}{\sqrt{N}} \sum_n \chi_j(\mathbf{r} - \mathbf{R}_n) \exp(i\mathbf{k}\mathbf{R}_n)$$

- báze

Krystalové orbitaly:
(CO)

$$\psi_i(\mathbf{k}, \mathbf{r}) = \sum_j c_{ij}(\mathbf{k}) \phi_j(\mathbf{k}, \mathbf{r})$$

$$\hat{H}\psi_i(\mathbf{k}) = E_i(\mathbf{k})\psi_i(\mathbf{k}) \quad c_{ij}(\mathbf{k}), E_i(\mathbf{k}) = ?$$

$$\left| H_{jl}(\mathbf{k}) - E_i(\mathbf{k})S_{jl}(\mathbf{k}) \right| = 0 \quad \left[H_{jl}(\mathbf{k}) - E_i(\mathbf{k})S_{jl}(\mathbf{k}) \right] c_{ji}(\mathbf{k}) = [0]$$

maticové
elementy:

$$H_{jl}(\mathbf{k}) = \langle \phi_j(\mathbf{k}) | \hat{H} | \phi_l(\mathbf{k}) \rangle \quad S_{jl}(\mathbf{k}) = \langle \phi_j(\mathbf{k}) | \phi_l(\mathbf{k}) \rangle$$

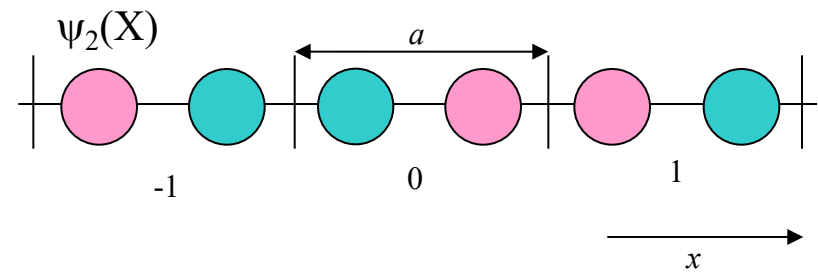
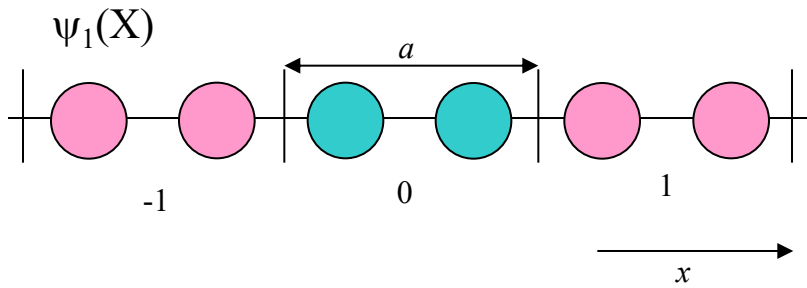
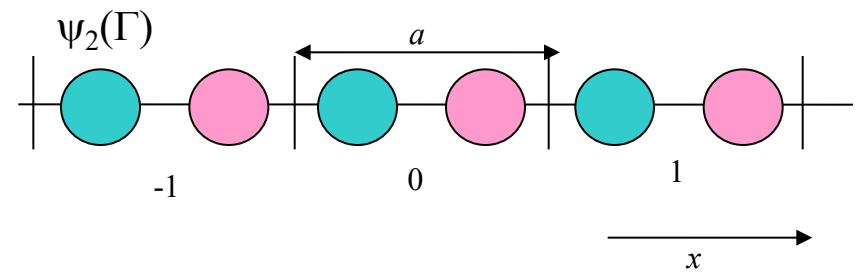
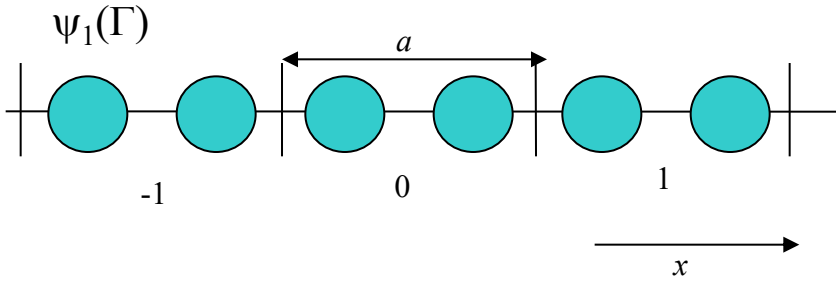
parametry:

$$E_j = \langle \chi_j | \hat{H} | \chi_j \rangle \quad t_{jl} = \langle \chi_j | \hat{H} | \chi_l \rangle \quad \sigma_{jl} = \langle \chi_j | \chi_l \rangle$$





$$\langle \phi_j | \hat{H} | \phi_l \rangle = \int_{\tau} \phi_j^* \hat{H} \phi_l d\tau \quad \langle \phi_j | \phi_l \rangle = \int_{\tau} \phi_j^* \phi_l d\tau$$

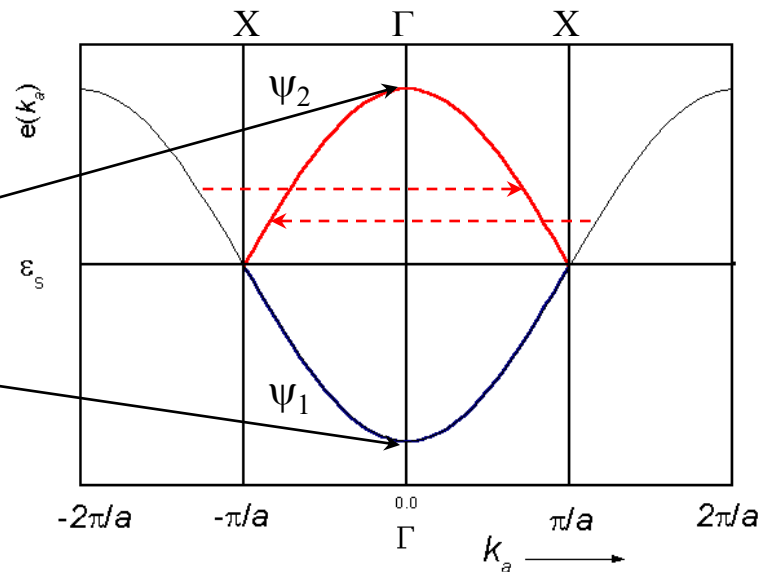
$$\varepsilon = \varepsilon_1 = \varepsilon_2, t = t_1 = t_2$$

$$e = \varepsilon \pm 2t \cos(k_a a / 2)$$



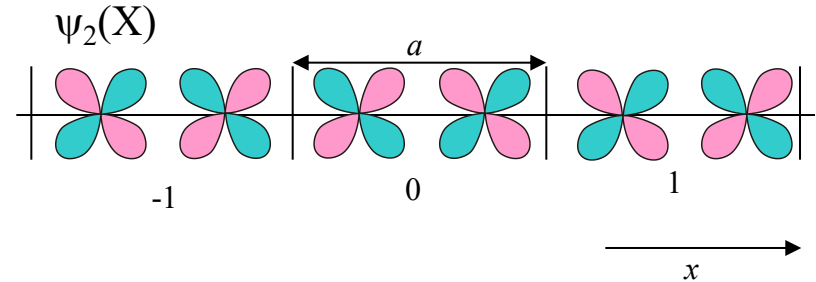
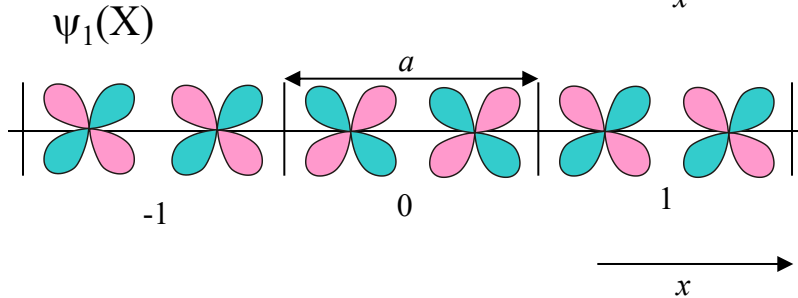
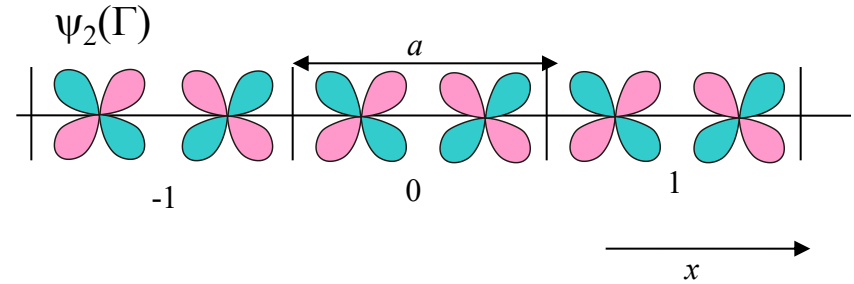
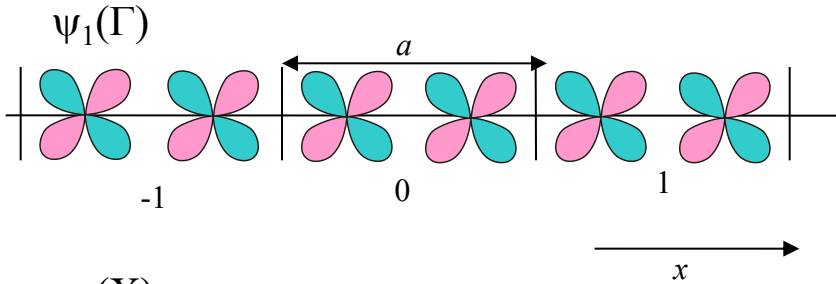
MO $\sim \Gamma(k=0)$

| | | |
|--|---|---|
| $E_2 = \alpha - \beta$ |  |  |
| $\Psi_2 = \frac{1}{\sqrt{2}}(\varphi_1 - \varphi_2)$ | | |
| $E_1 = \alpha + \beta$ |  |  |
| $\Psi_1 = \frac{1}{\sqrt{2}}(\varphi_1 + \varphi_2)$ | | |



$$\varepsilon = \varepsilon_1 = \varepsilon_2, t = t_1 = t_2$$

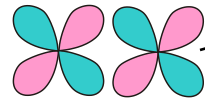
$$e = \varepsilon \pm 2t \cos(k_a a / 2)$$



MO ~ $\Gamma(k=0)$

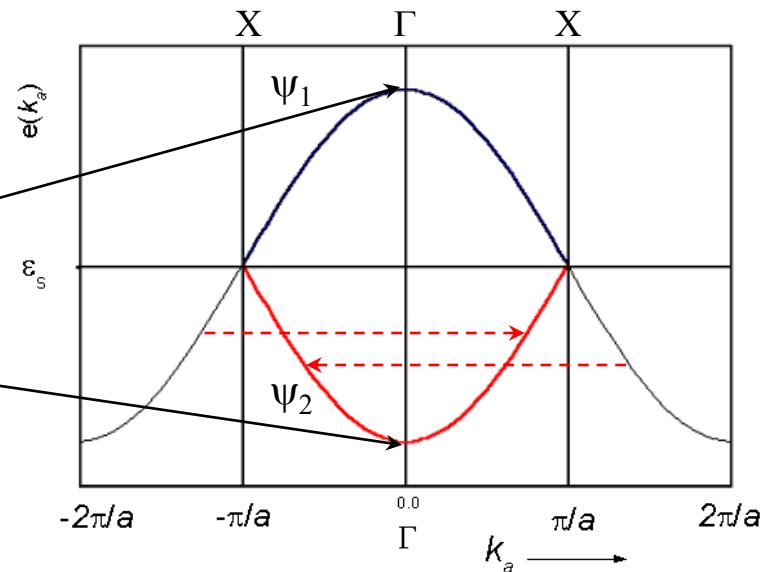
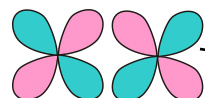
$$E_1 = \alpha + \beta$$

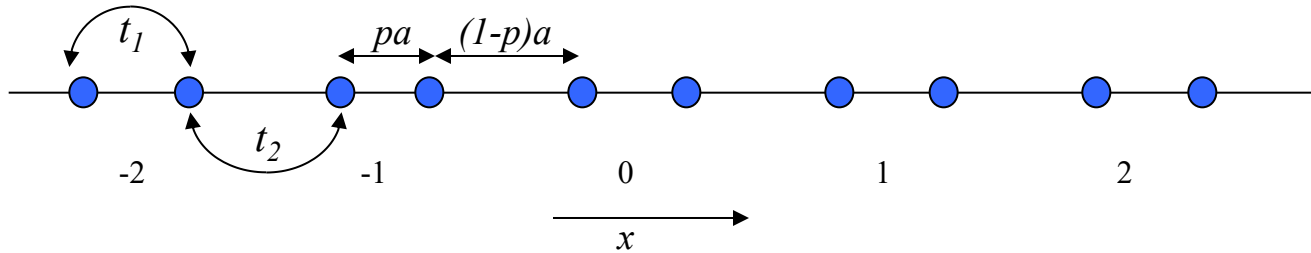
$$\Psi_1 = \frac{1}{\sqrt{2}}(\varphi_1 + \varphi_2)$$



$$E_2 = \alpha - \beta$$

$$\Psi_2 = \frac{1}{\sqrt{2}}(\varphi_1 - \varphi_2)$$

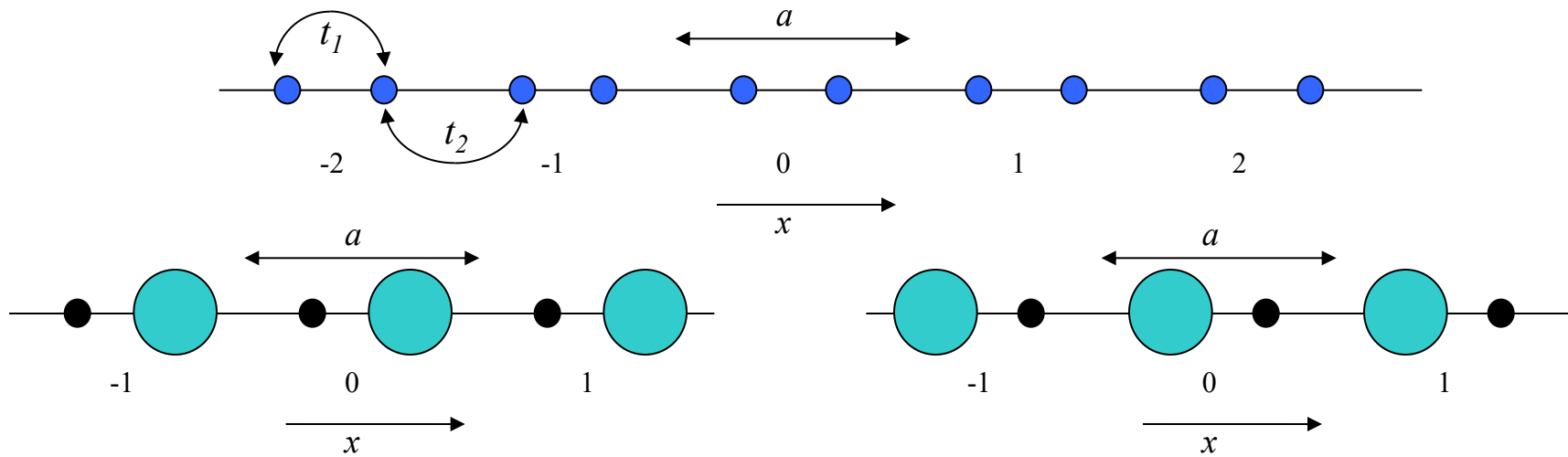




$$a = pa + (1-p)a$$

$$H_{12} = \beta_1 e^{ikpa} + \beta_2 e^{-ik(1-p)a} = \beta_1 e^{ikpa} + \beta_2 e^{-ika} e^{ikpa} = e^{ikpa} (\beta_1 + \beta_2 e^{-ika})$$

$$H_{21} = e^{-ikpa} (\beta_1 + \beta_2 e^{ika}) = H_{12}^*$$



BO

$$\phi_{\mu}(k_a) = \frac{1}{\sqrt{N}} \sum_n \chi_{\mu}(x - (n + X_1)a) \exp(ik_a(n + X_2)a) \quad , \quad \mu = 1,2$$

CO

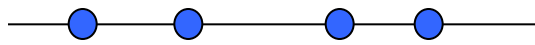
$$\psi = c_1 \phi_1 + c_2 \phi_2$$

? E, c_1, c_2

$$\begin{vmatrix} H_{11}(k) - e_i(k) & H_{12}(k) \\ H_{21}(k) & H_{22}(k) - e_i(k) \end{vmatrix} = 0$$

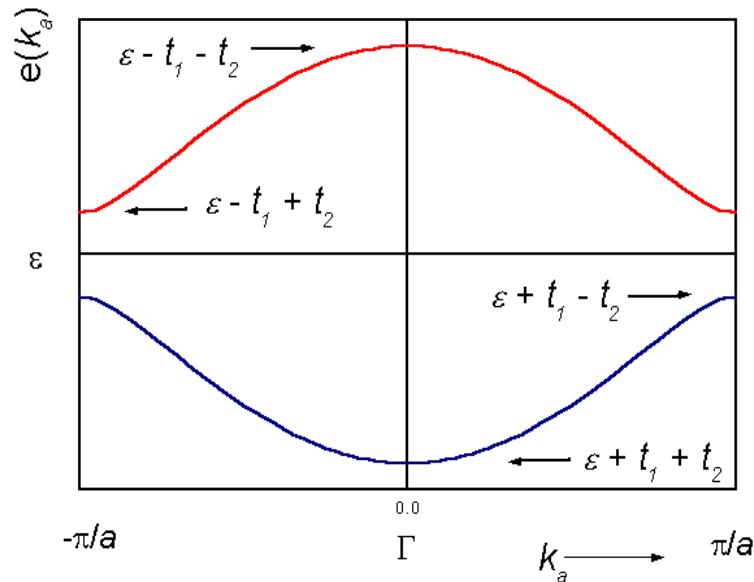
$$H_{\mu\mu} = \varepsilon_{\mu} = \langle \chi_{\mu} | H^{\text{eff}} | \chi_{\mu} \rangle \quad , \quad \mu = 1,2 \quad H_{12} = H_{21}^* = t_1 + t_2 \cdot \exp(-ik_a a)$$

$$E(\mathbf{k}) = (\varepsilon_1 + \varepsilon_2)/2 \pm \sqrt{(\varepsilon_1 - \varepsilon_2)^2/4 + (t_1^2 + t_2^2 + 2t_1 t_2 \cos(k_a a))}$$



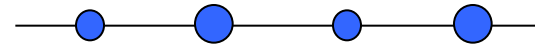
$$\varepsilon = \varepsilon_1 = \varepsilon_2, \quad t_1 < t_2 < 0$$

$$e = \varepsilon \pm \sqrt{t_1^2 + t_2^2 + 2t_1t_2 \cos(k_a a)}$$



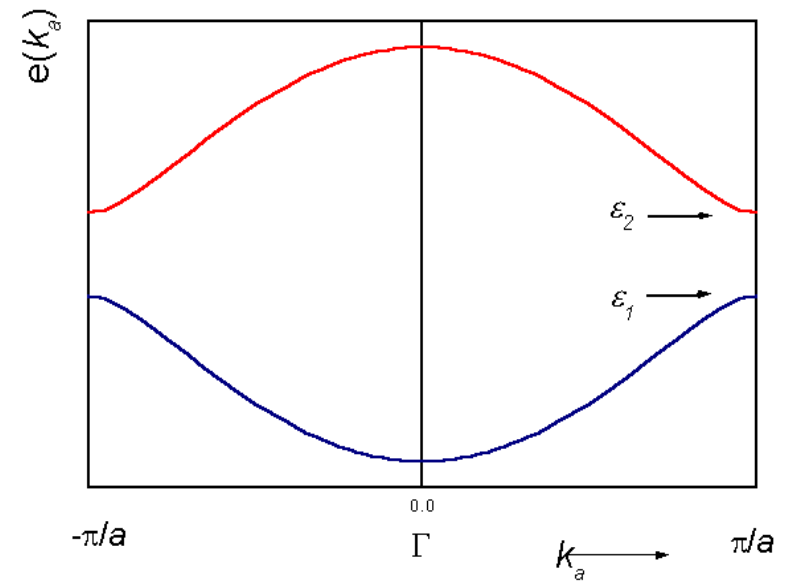
$$w = 2|t_2|$$

$$e_g = 2(t_2 - t_1)$$



$$\varepsilon_1 < \varepsilon_2, \quad t = t_1 = t_2 < 0$$

$$e = (\varepsilon_1 + \varepsilon_2)/2 \pm \sqrt{(\varepsilon_1 - \varepsilon_2)^2/2 + 4t^2 \cos(k_a a/2)}$$



$$w = 2|t|$$

$$E = \frac{mv^2}{2}$$

$$p = mv = \hbar k$$

$$E = \frac{p^2}{2m} = \frac{\hbar^2 k^2}{2m}$$

$$\frac{\partial E}{\partial k} = \frac{\hbar^2 k}{m}$$

$$\frac{\partial^2 E}{\partial k^2} = \frac{\hbar^2}{m}$$

$$v = \frac{1}{\hbar} \frac{\partial E}{\partial k}$$

$$\frac{1}{m} = \frac{1}{\hbar^2} \frac{\partial^2 E}{\partial k^2}$$

$$DOS^{-1} = \frac{\partial E}{\partial k} = \hbar v$$

$$\hat{O}(f_1 + f_2) = \hat{O}f_1 + \hat{O}f_2; \quad \hat{O}cf + c\hat{O}f$$

lineární operátor

$$E = \vec{F}\vec{l} = \vec{p}\vec{v}$$

$$\vec{F} = \vec{a}m$$

$$[\hat{A}, \hat{B}] = \hat{A}\hat{B} - \hat{B}\hat{A} = 0$$

komutující operátory

$$\vec{p} = m\vec{v} = \vec{F}t$$

$$[\hat{L}^2, \hat{L}_x] = 0 \quad [\hat{L}_x, \hat{L}_y] = i\hbar\hat{L}_z \quad [\hat{x}, \hat{p}_x] = i\hbar$$

$$\int_{\tau} \Psi_1^* \hat{H} \Psi_2 d\tau = \int_{\tau} \Psi_2 \hat{H}^* \Psi_1^* d\tau$$

H je Hermitovský operátor

$$K = a_{ij} + ib_{ij}; \quad K^* = a_{ij} - ib_{ij}$$

K^* : komplexně sdružená

$$K = K^{T*} = K^H; \quad a_{ij} + ib_{ij} = a_{ji} - ib_{ji}$$

Hermitovská matice

$$K \cdot K^H = K^H \cdot K = 1, \quad \text{tj. } K^H = K^{-1}$$

unitární matice

$$K \cdot K^T = K^T \cdot K = 1, \quad \text{tj. } K^T = K^{-1}$$

ortogonální matice

$$S_{ij} = \int_{\tau} \Psi^* \Psi d\tau$$

$S_{ii} = 1$: normované funkce

$S_{ij} = 0$: ortogonální funkce

$S_{ij} = \delta_{ij}$: ortonormální funkce

$$\hat{p}_x = -i\hbar \frac{\partial}{\partial x}$$